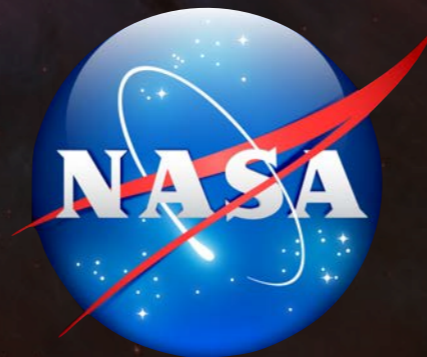


Analysis of Molecular Spectra with SOFIA/EXES

Sarah Nickerson

Bay Area Environmental Research Institute/NASA Ames Research Center

Bay Area
Environmental Research
Institute



SOFIA Spring School
April 20th, 2023

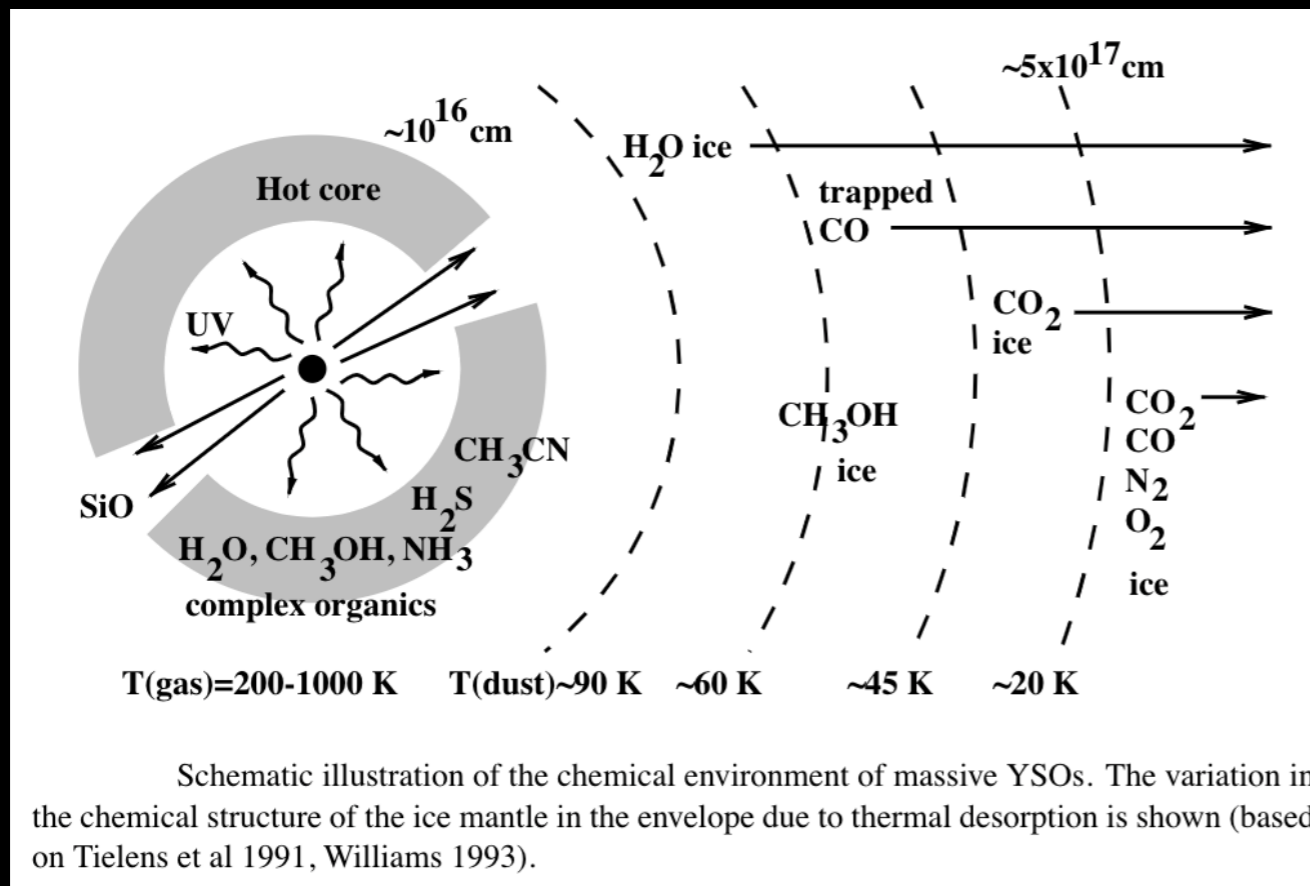
Overview

a.k.a. everything I wish I knew in
the beginning

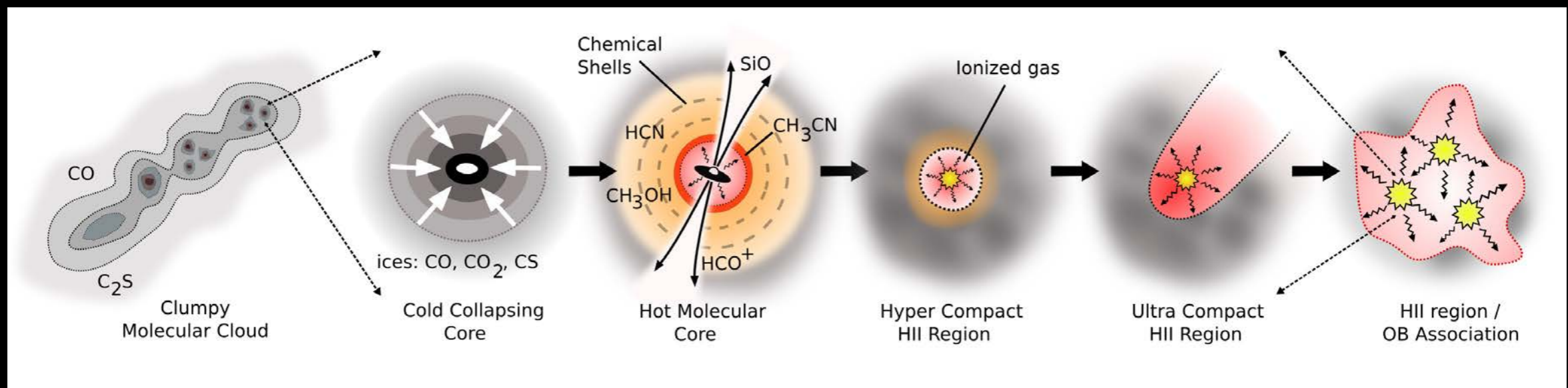
1. Introduction to hot molecule cores and their importance
2. Brief summary of our EXES survey towards Orion IRc2. This will be used to as an example to demonstrate the analysis methods.
3. Analysis methods:
 - I. Getting started with plotting EXES data
 - II. Normalization
 - III. Identifying molecules
 - IV. Gaussian fits and rotation diagrams
 - V. Crowded lines and simulated spectra
4. Applications and complex situations

Hot Molecular Cores

- Warm (≥ 100 K), small (≤ 0.1 pc) and dense (10^5 to 10^8 cm^{-3}) gas near young, associated with high mass protostars (Ohisi 1997)
- Intermediate stage in star formation: stellar radiation evaporates ice on dust grains in molecular clouds
- Unlocks chemically rich reservoirs of complex and prebiotic molecules



van Dishoeck & Blake 1998



What Do We Learn From Hot Cores?

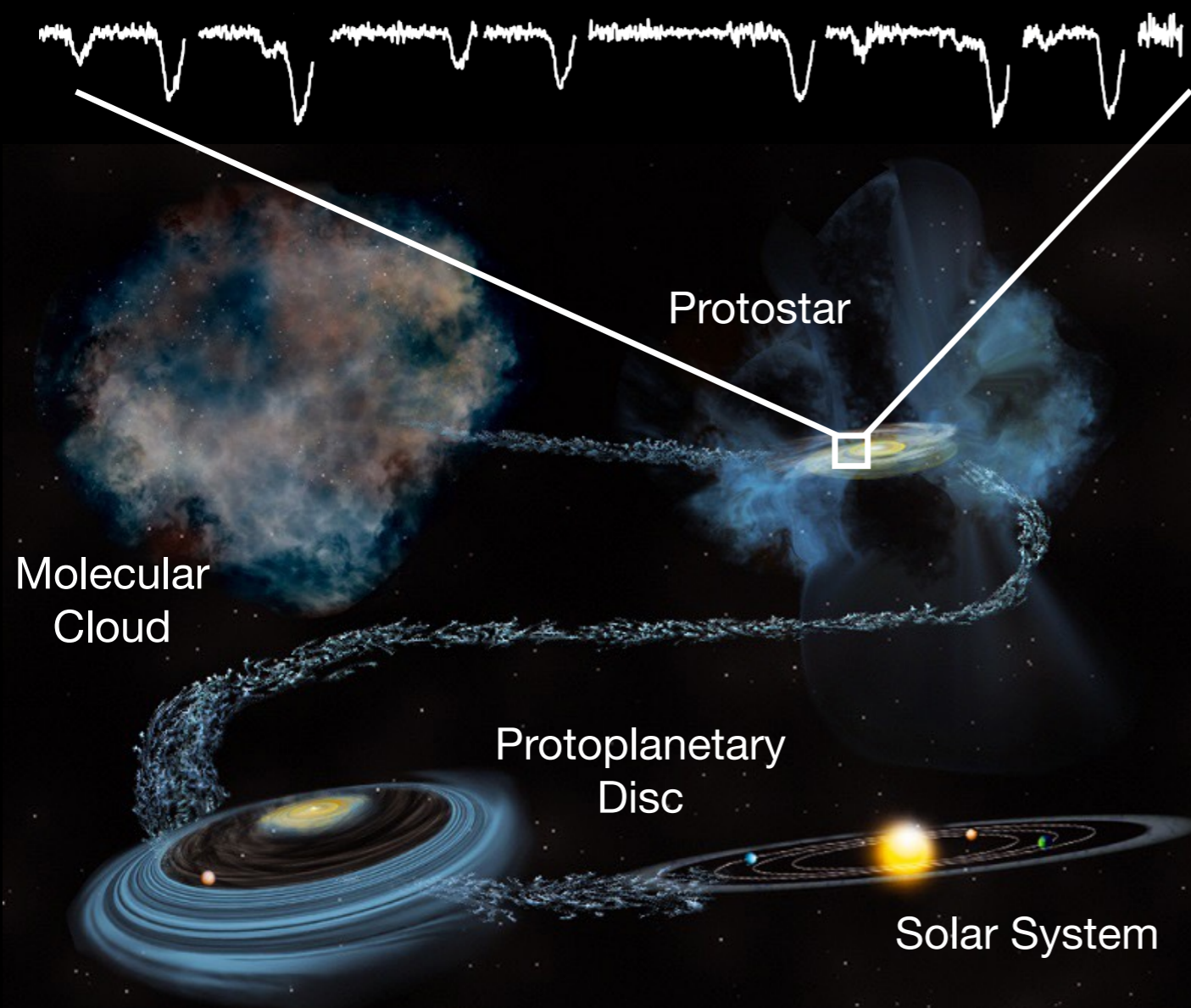
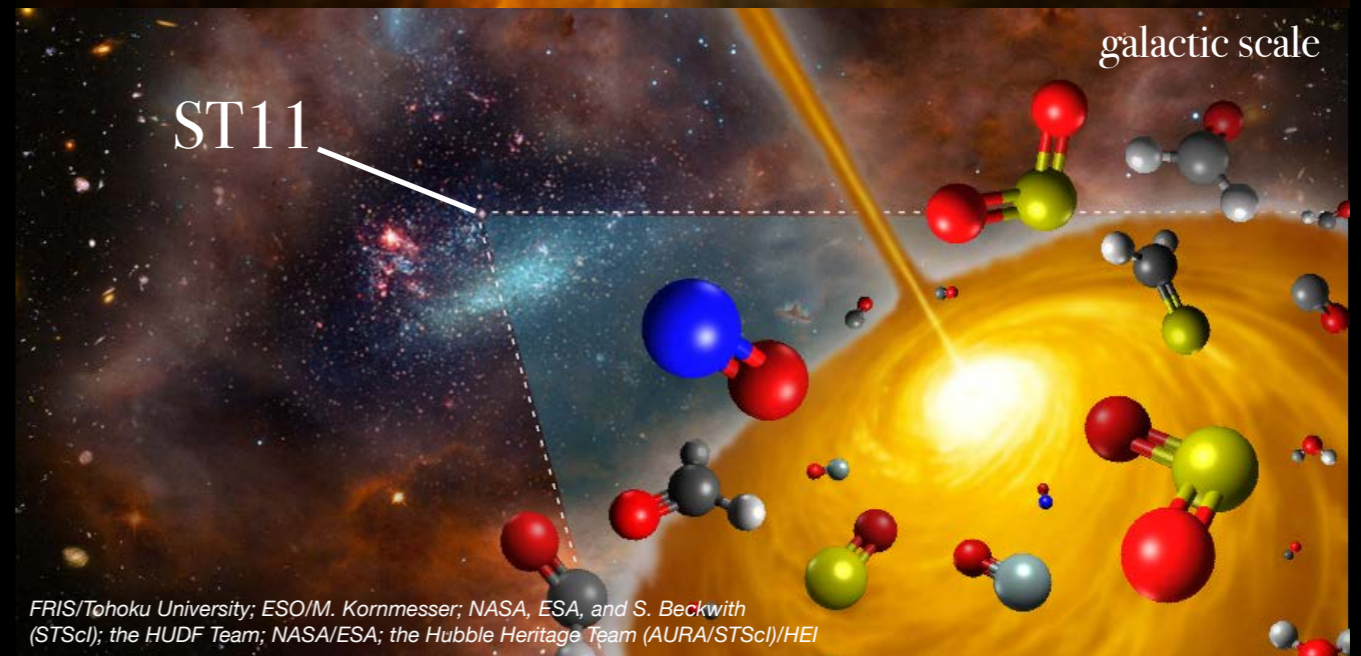
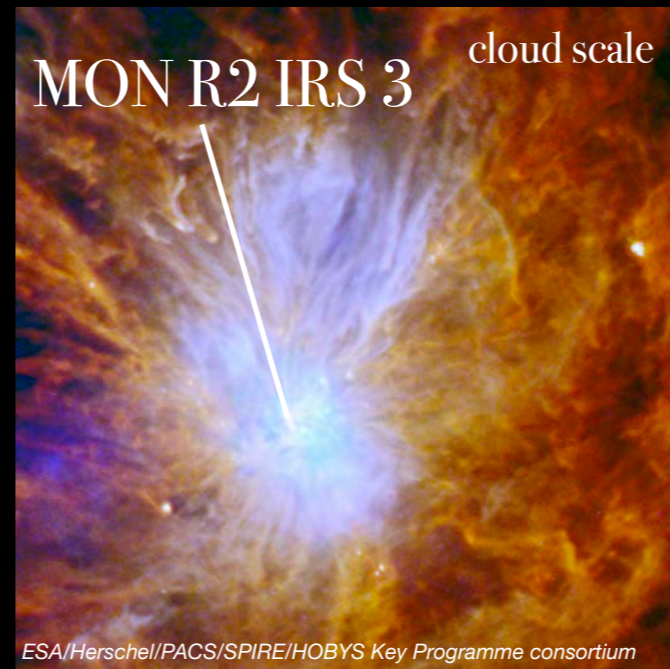


Illustration: Bill Saxton, NSF/AUI/NRAO;

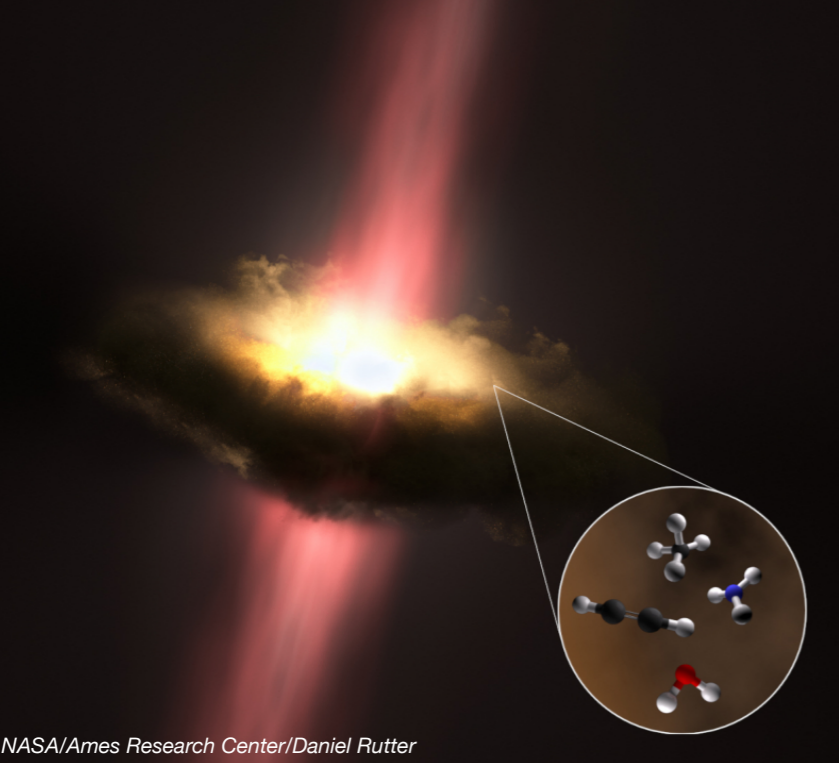
Spectra: H₂O towards AFGL 2136 (Indriolo+ 2020)

- Massive protostars probe the state of the interstellar medium at the earliest stages of star formation
- Our own sun may have formed in a massive star-forming region and inherited its molecular inventory from a natal hot core region (Adams, 2010; Drozdovskaya et al., 2018; Beltran & Rivilla, 2018)
- This gas contains the precursors to probiotics that will form planetary systems such as our own
- Studying hot cores will elucidate the origins of prebiotic molecules and inform chemical modelling

- Dozens of hot cores discovered in the Milky Way, handful in the LMC and the SMC
- Hot Core Resources:
 - Overview Papers: Ohishi 1997, van Dishoeck & Blake 1998, Kurtz et al. 2000, van der Tak 2004, Cesaroni 2005, Beltrán & Rivilla 2018
 - Textbook: A. G. G. M. Tielens 2021, Molecular Astrophysics

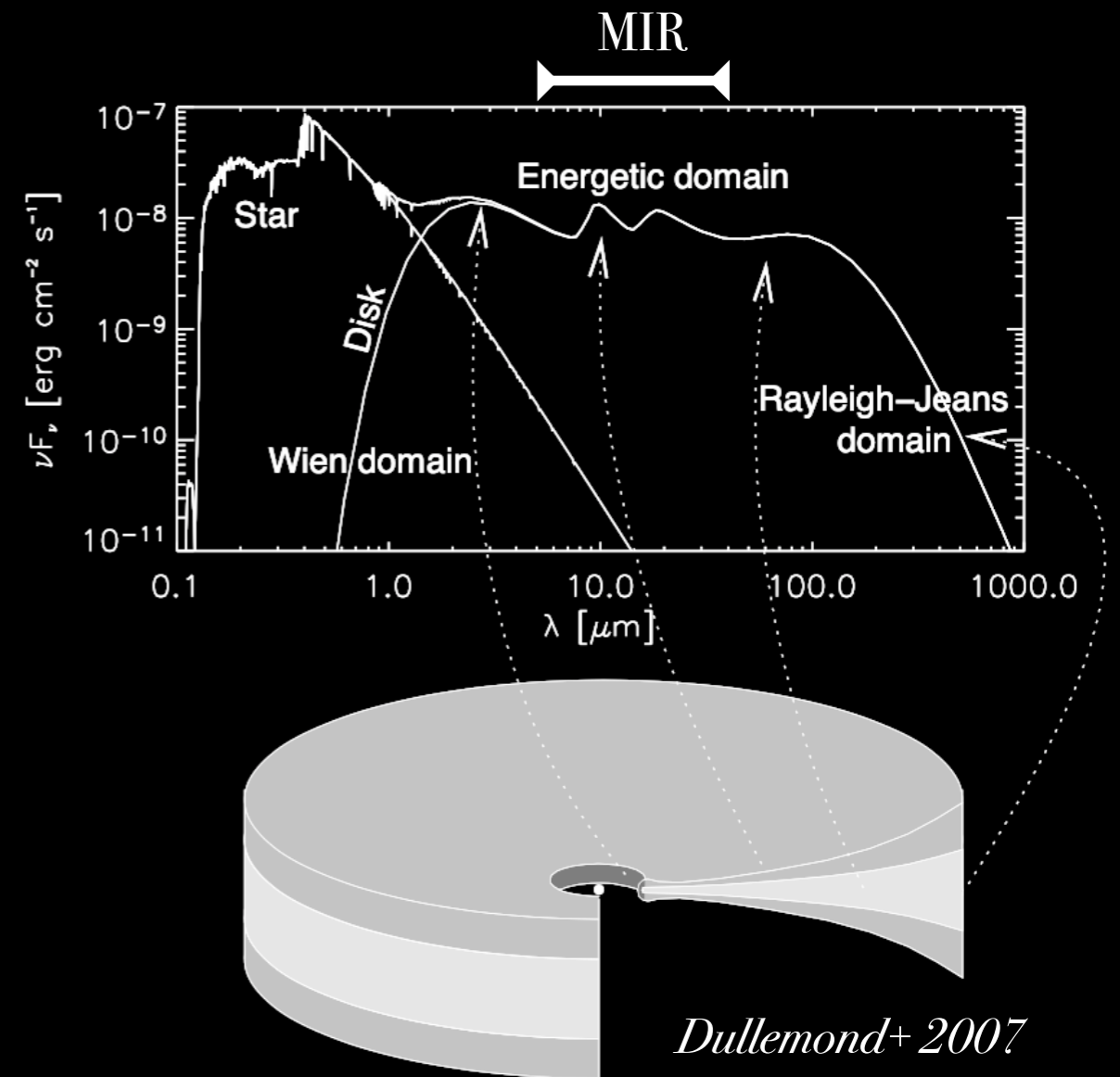


AFGL 2591 Illustrated



Hot Cores in the MIR

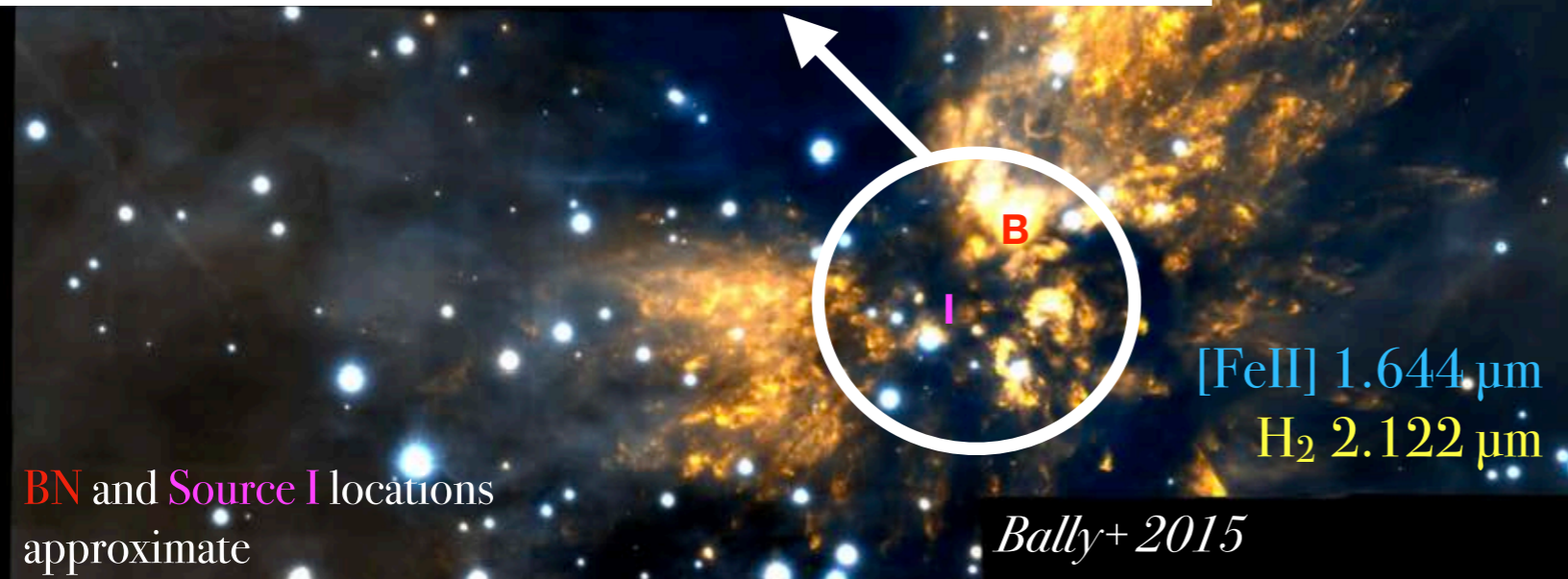
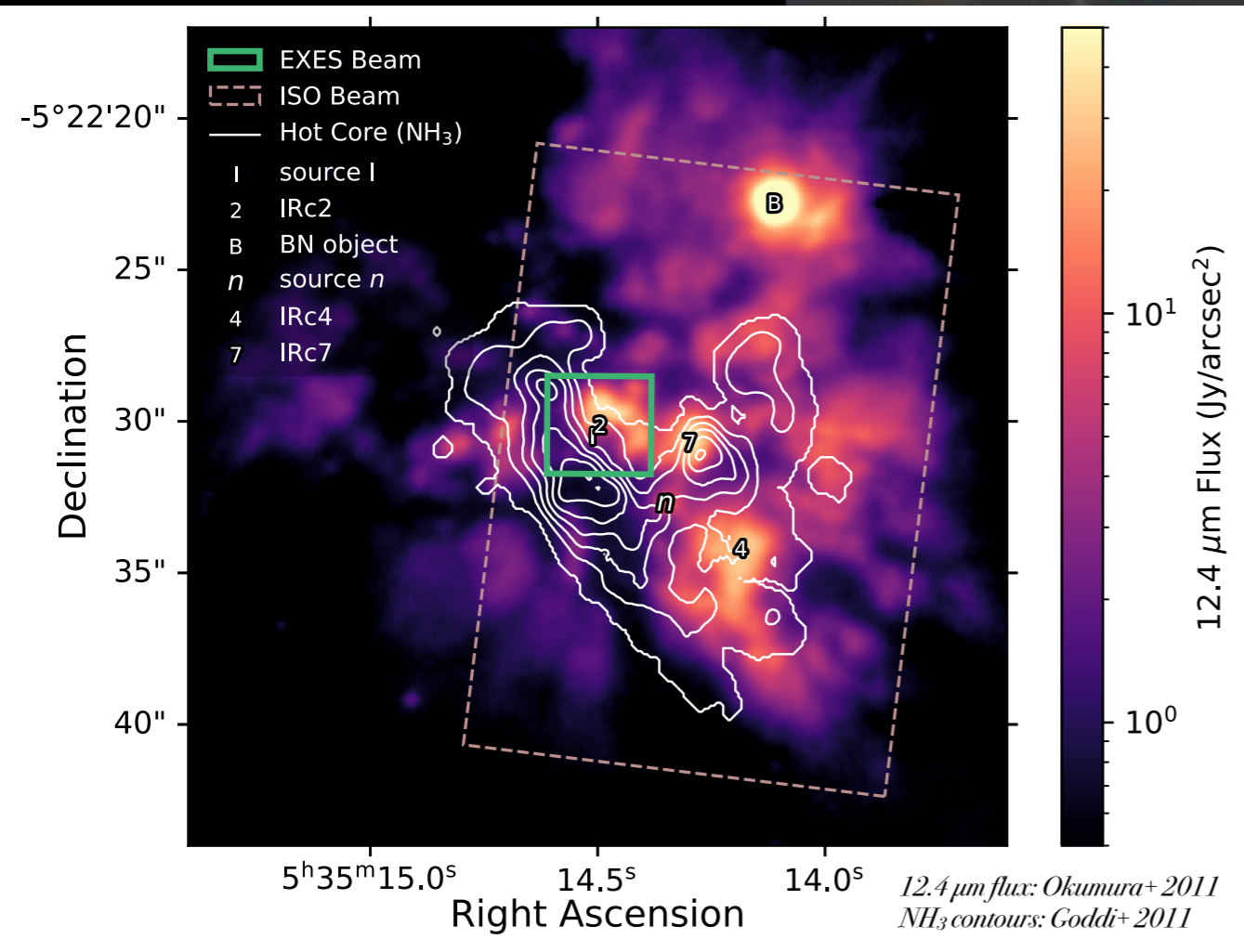
- Previous high spectral resolution surveys limited to radio, sub-mm, mm, and far-infrared wavelengths
- These longer wavelengths capture rotational transitions of molecules with permanent dipole moments
- Easily accessible from the ground with facilities such as ALMA and SMA
- Only the mid-infrared (MIR) can observe rovibrational transitions and molecules with no permanent dipole moment (e.g. C_2H_2 and CH_4)
- Radio to FIR captures molecules in cooler, outer regions of discs while the MIR to NIR covers the inner regions (Dullemond+ 2007, Barr+ 2020)
- MIR difficult to access because of atmospheric interference
- Past space telescopes *ISO* and *Spitzer*, and present *JWST* cannot resolve individual lines of hot cores in the MIR



Schematic SED with origin of wavelength regimes emitted spatially along a protoplanetary disc

Atypical: The Orion Hot Core

- Orion BN/KL within closest and most studied massive star formation region
- Site of explosion ~500 years ago from multi-body encounter; pushed BN and source I apart (Bally+ 2015)
- Orion hot core was first hot molecular core discovered, via NH₃ emission (Ho+ 1979)
- Orion hot core: atypical, externally heated and has no embedded protostar
- The edge of the Orion hot core is illuminated in MIR by IRc2
- IRc2 is possibly scattered radiation from radio source I (Okumura+ 2011) or source *n* (Simpson+ 2006)
- IRc2 may be cavity in the Orion BN/KL nebula (Wynn-Williams+ 1984)

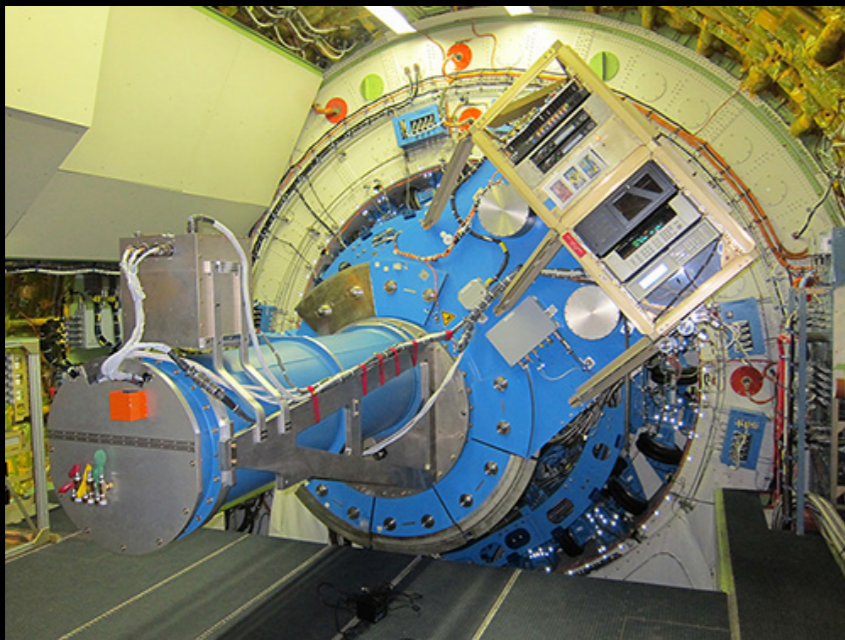


Orion IRc2 with SOFIA/EXES

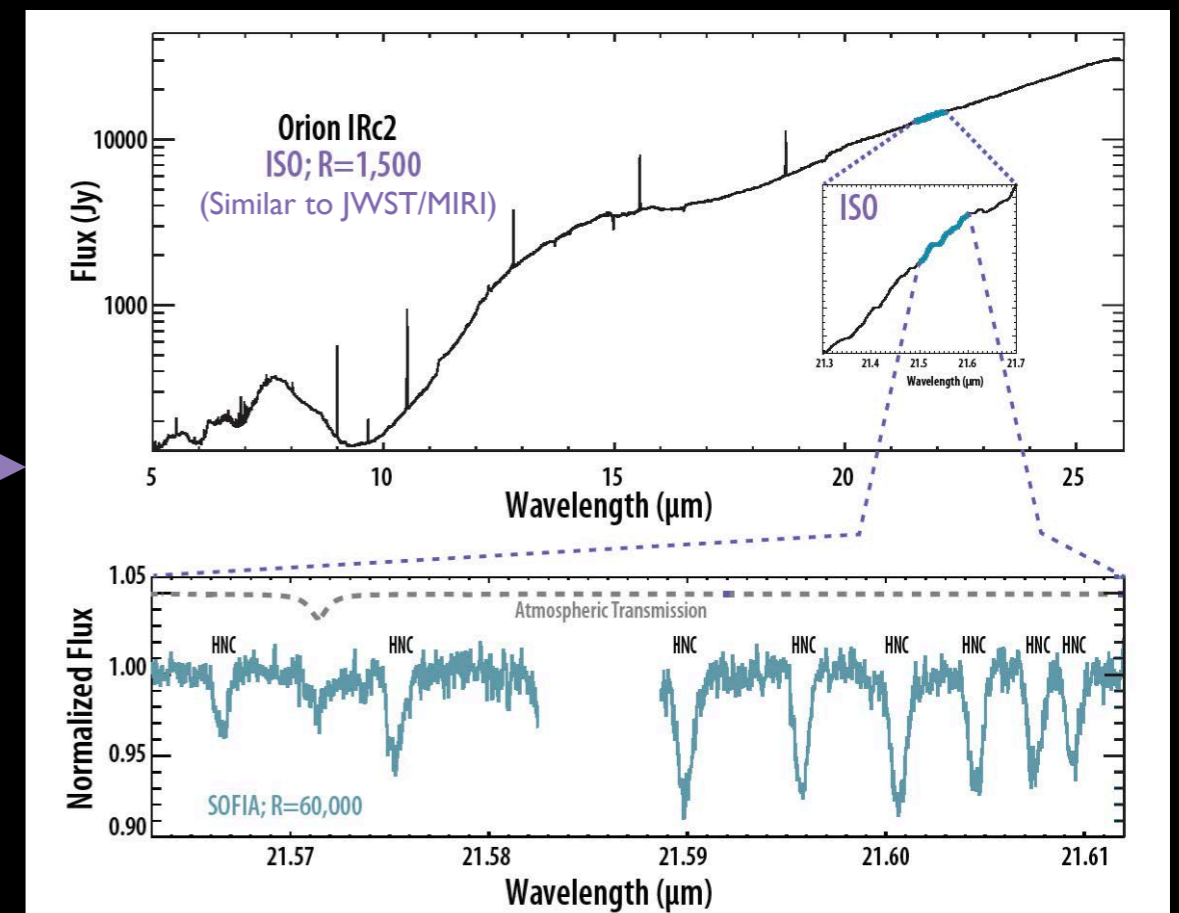
- We conducted an unbiased, MIR line survey at high resolution ($R \sim 60,000$) from 7.2 to 28.3 μm towards Orion IRc2
- For this tutorial, I will be using data from this sample as an example to demonstrate analysis techniques

Compare resolution between MIR surveys towards Orion IRc2:

- Top: ISO/SWS, resolution $\sim 1,500$ (van Dishoeck et al. 1998), similar to JWST/MIRI
- Bottom: SOFIA/EXES, this survey, HNC absorption lines, resolution $\sim 60,000$
- With JWST/MIRI, these lines would be indiscernible from the continuum

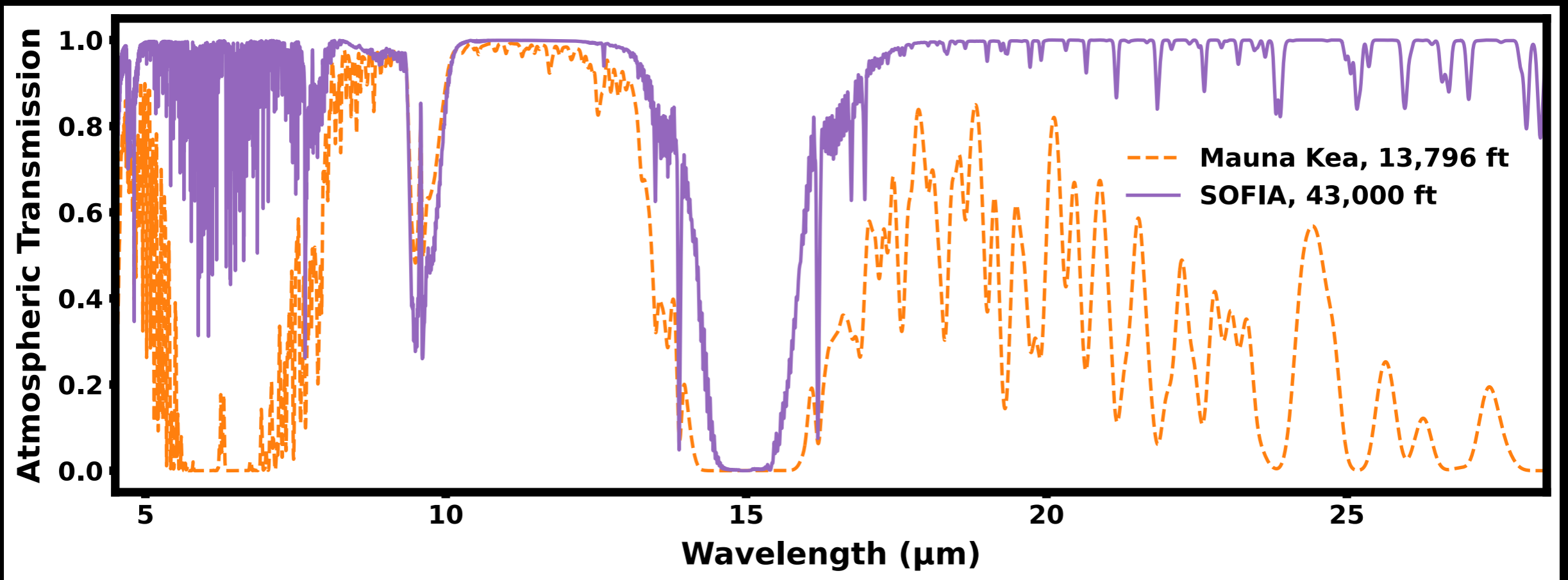


Nickerson+ 2021, ApJ, 907, 51
Nickerson+ 2023, ApJ, 945, 26



NASA/SOFIA/M. Rose/N. Rangwala

Atmospheric Transmission



Comparison of atmospheric transmission across the EXES Range, between SOFIA and Mauna Kea

Importing EXES data

EXES spectra are stored in two different file types:

the orders are merged (MRD)

```
from astropy.io import fits
infits= fits.open(infile)
wavenumber = infits[0].data[0,:] ← EXES data is stored in wavenumbers, cm-1
flux = infits[0].data[1,:] ← unnormalized flux
error = infits[0].data[2,:] ← variance (not usually needed)
atran = infits[0].data[3,:] ← atran model, untuned to specific observation
infits.close()
```

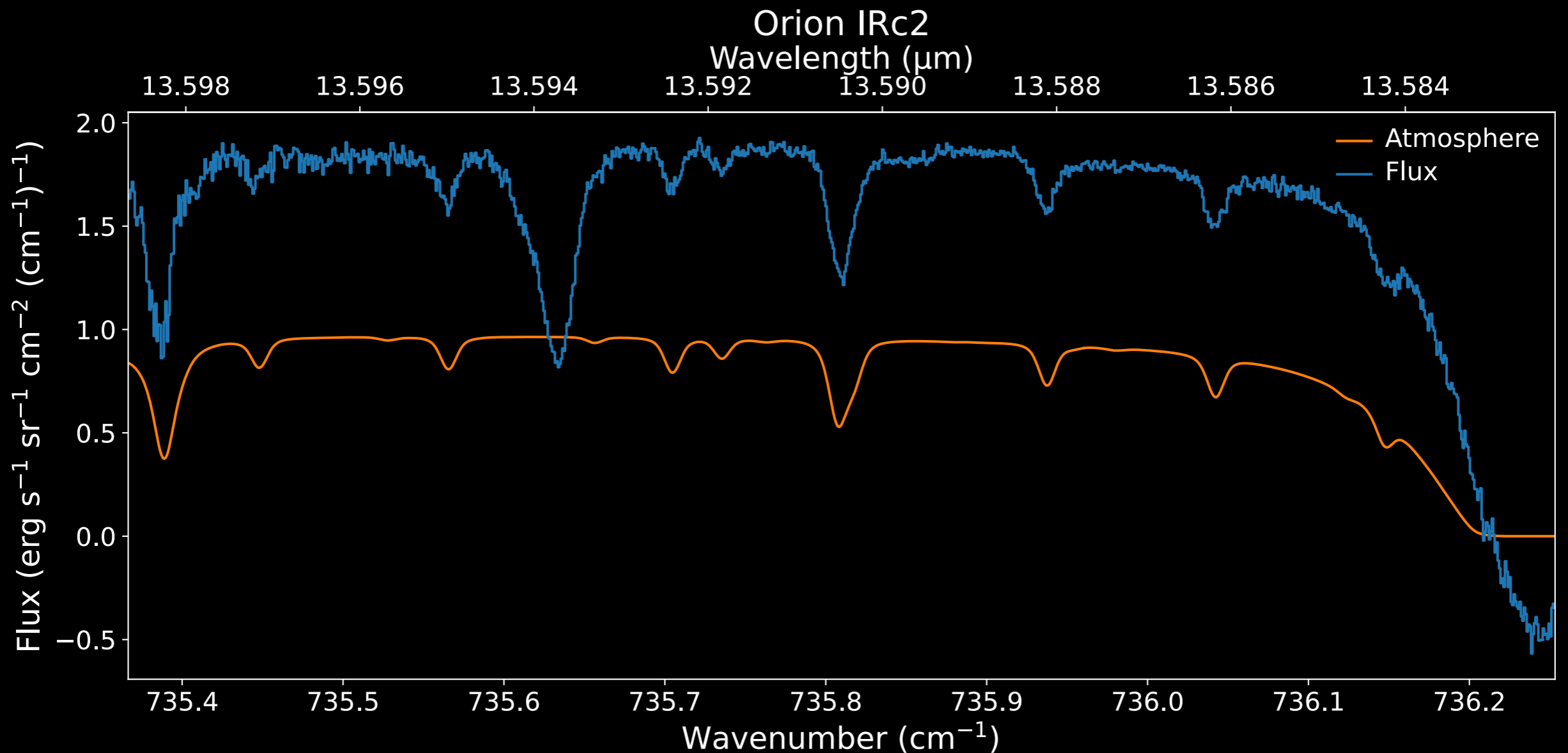
the orders are stored in separate arrays (CMB or SPC)

```
from astropy.io import fits
infits= fits.open(infile)
wavenumber = infits[0].data[:,0,:]
flux = infits[0].data[:,1,:]
error = infits[0].data[:,2,:]
atran = infits[0].data[:,3,:]
infits.close()
```

Order ↑ ↑ Data

(Examples given in python, but procedure similar in IDL or language of your choice)

Plotting EXES data



- If you plot the Flux vs. Wavenumber straight from the file, you will noticed that is not normalized
- The Atran (atmosphere) model found in the fits file is normalized, but is not tuned to this data

Normalization Methods

1. Quick

- Find a portion where both the flux and atran model are flat, and divide that flux
- Only good for quick visualization, or for regions without much atmosphere, where flux does not overlap with telluric features

2. Divide by Calibrator (an observation towards an object without spectral features, rarely taken with EXES)

3. Divide by Atmospheric Model

- ATRAN model (<https://atran.arc.nasa.gov/cgi-bin/atran/atran.cgi>) by entering in observation parameters in fits header
- NASA Planetary Spectrum Generator (<https://psg.gsfc.nasa.gov/>)

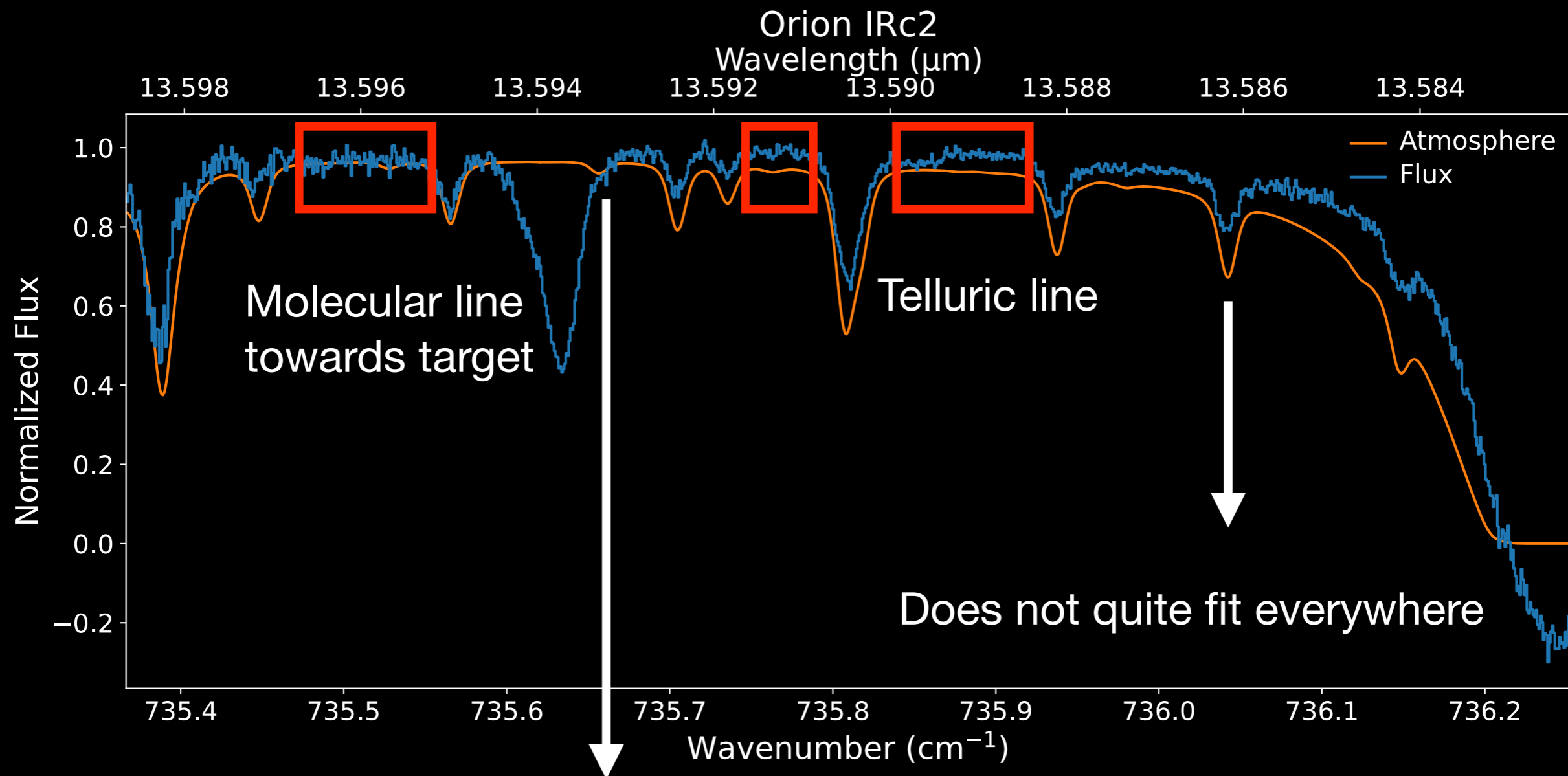
4. If baseline is uneven, need to divide by polynomial

Covered in detail by Curtis DeWitt in the 2022 SOFIA School

He also has a great introduction into what EXES is and the available data products

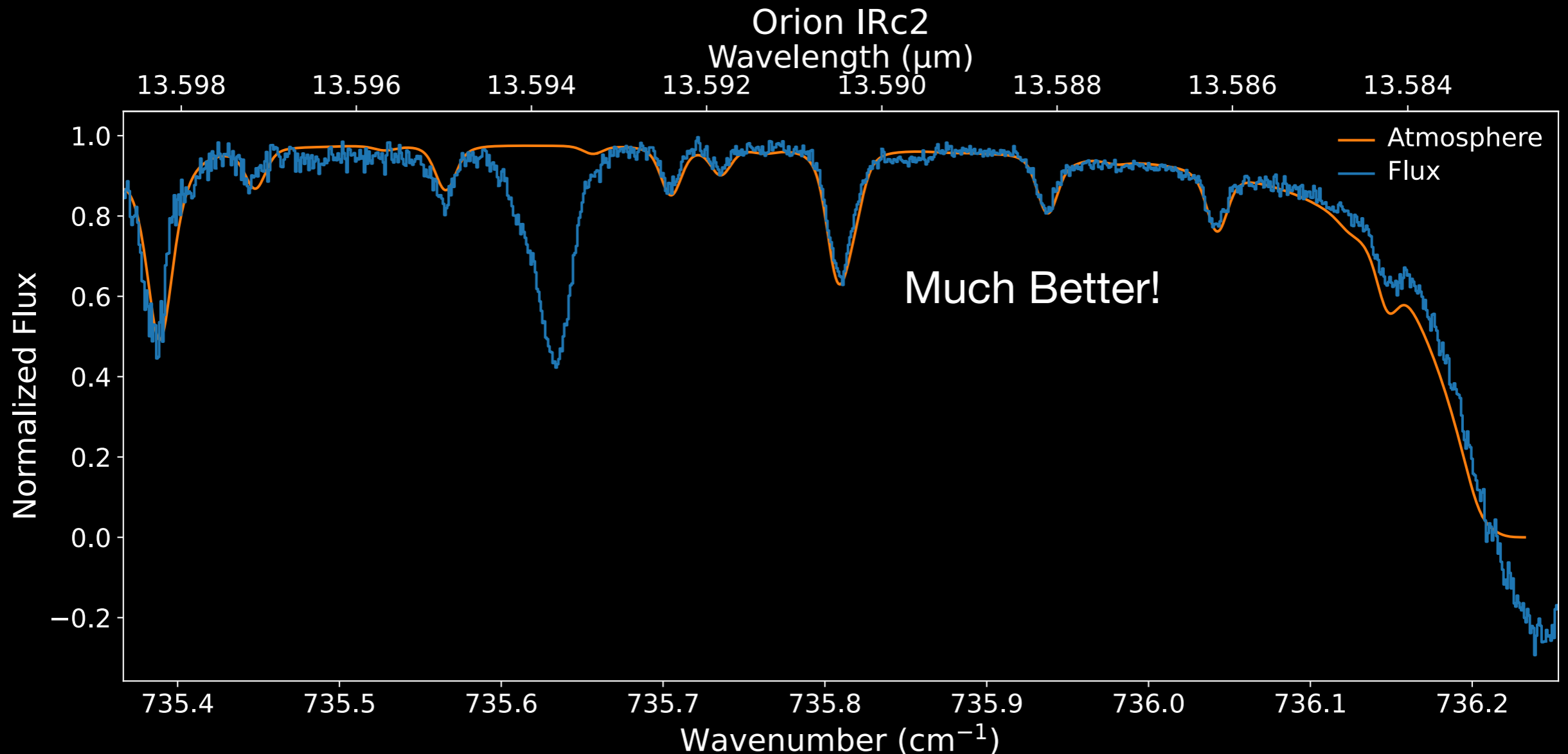
Quick Normalization

- Identify **regions** where the flux is flat and the atmosphere model is close to 1
- Divide the entire flux by the mean flux of these **regions**
- Can easily see which lines are telluric features, and which are towards the target



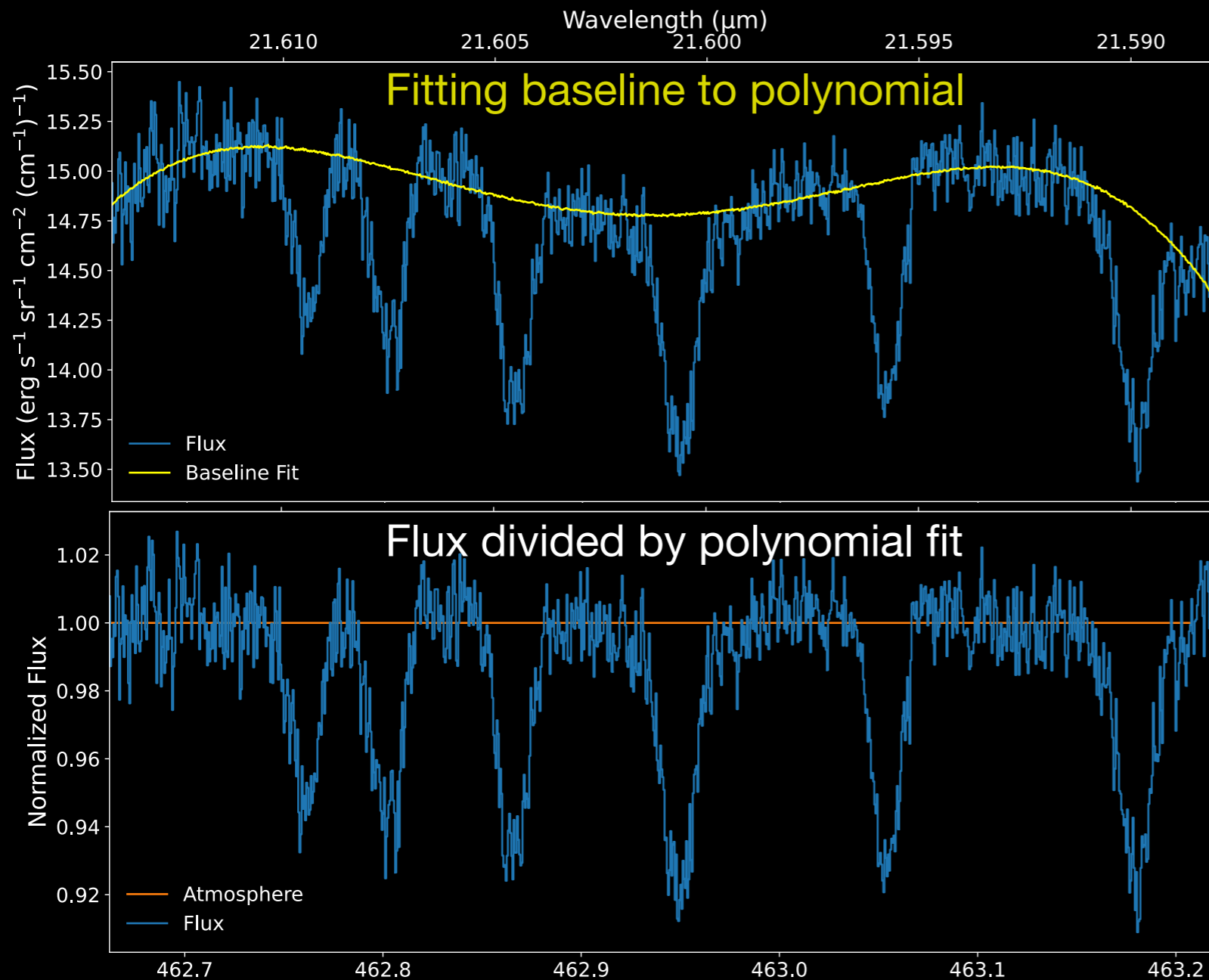
Atmosphere overlap with line, will need better normalization method to divide out when analyzing

Normalization by Tuned ATRAN Model



- Download unsmoothed ATRAN model (<https://atran.arc.nasa.gov/cgi-bin/atran/atran.cgi>) by entering in observation parameters (altitude, latitude, zenith) from fits header; $R=0$ for no smoothing
- Interpolate to move ATRAN model onto same wavenumber grid as normalized flux
- Find normalization (n) and smoothing parameter (σ) to best fit ATRAN model to observed telluric lines
- scipy minimize function to find n and σ that minimize the difference between normalized flux and smoothed ATRAN model (with scipy gaussian_filter1d):
`minimize(gaussian_filter1d(atran, σ) - flux/n)`

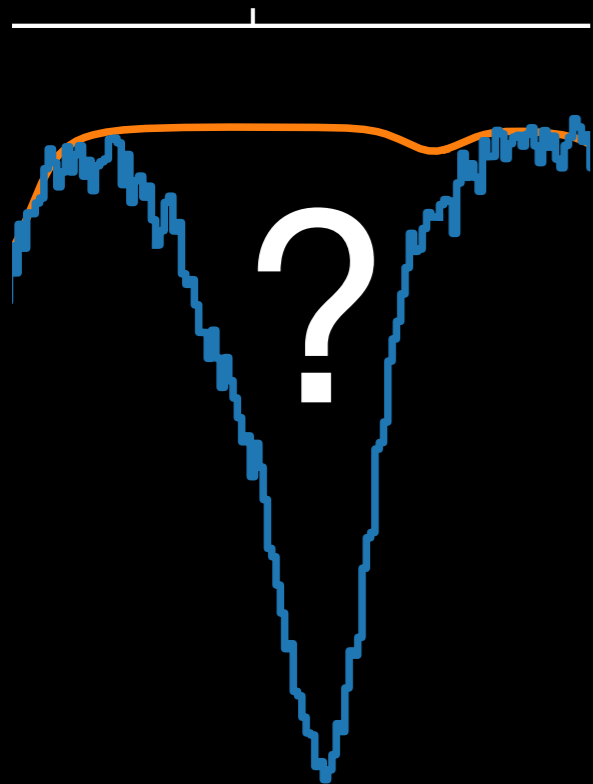
Uneven Baseline



- Sometimes an order, or setting, may have an uneven baseline
- This particularly happens for the settings around 300 to 400 cm^{-1}
- In this situation, you need to fit a polynomial to the baseline and then divide to straighten it out

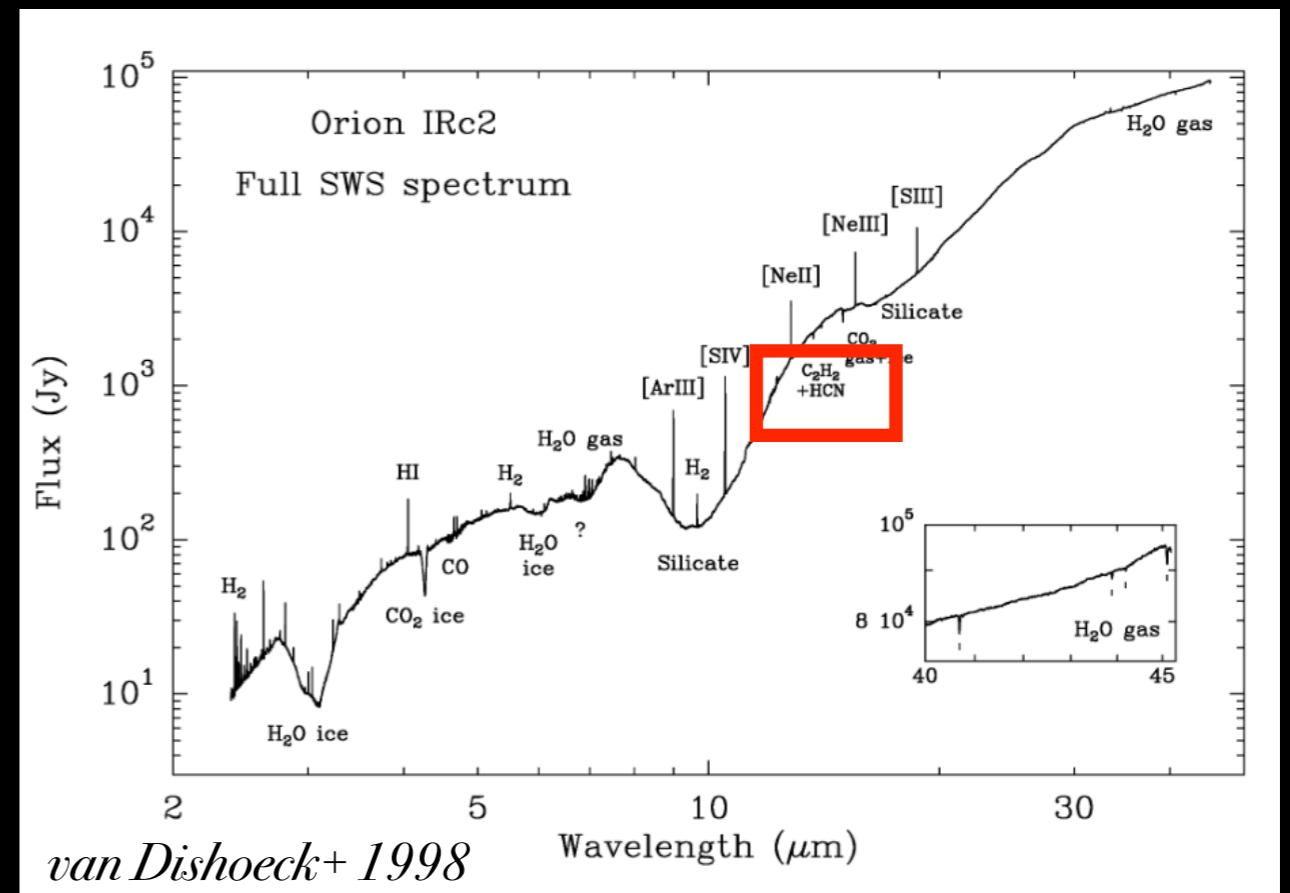
What's that Line?

13.594



- Search the literature for common MIR species in hot cores/object of interest
- Older ISO papers
- High resolution MIR hot core papers with many species:
 - NGC 7538 IRS 1, Knez+ 2009 (TEXES, similar to EXES but ground-based)
 - AFGL 2591, 2136 Barr+ 2020 (EXES)
 - Orion IRc2, Nickerson+ 2023 (EXES)

Previously, Orion IRc2 was observed in the MIR with ISO...



van Dishoeck+ 1998

...C₂H₂ and HCN detected around 13 μm!

Databases with MIR Lines

To identify molecules, we need lines lists of transitions for each molecule. These databases also contain quantum parameters we will need for later analysis.

- HITRAN <https://hitran.org/>



- Molecules relevant to the Earth's atmosphere
- Most user friendly database and contains almost all molecules observed towards hot cores with EXES
- Can be accessed through python package, hapi, to easily integrate into one's own code

- GEISA <https://geisa.aeris-data.fr/>



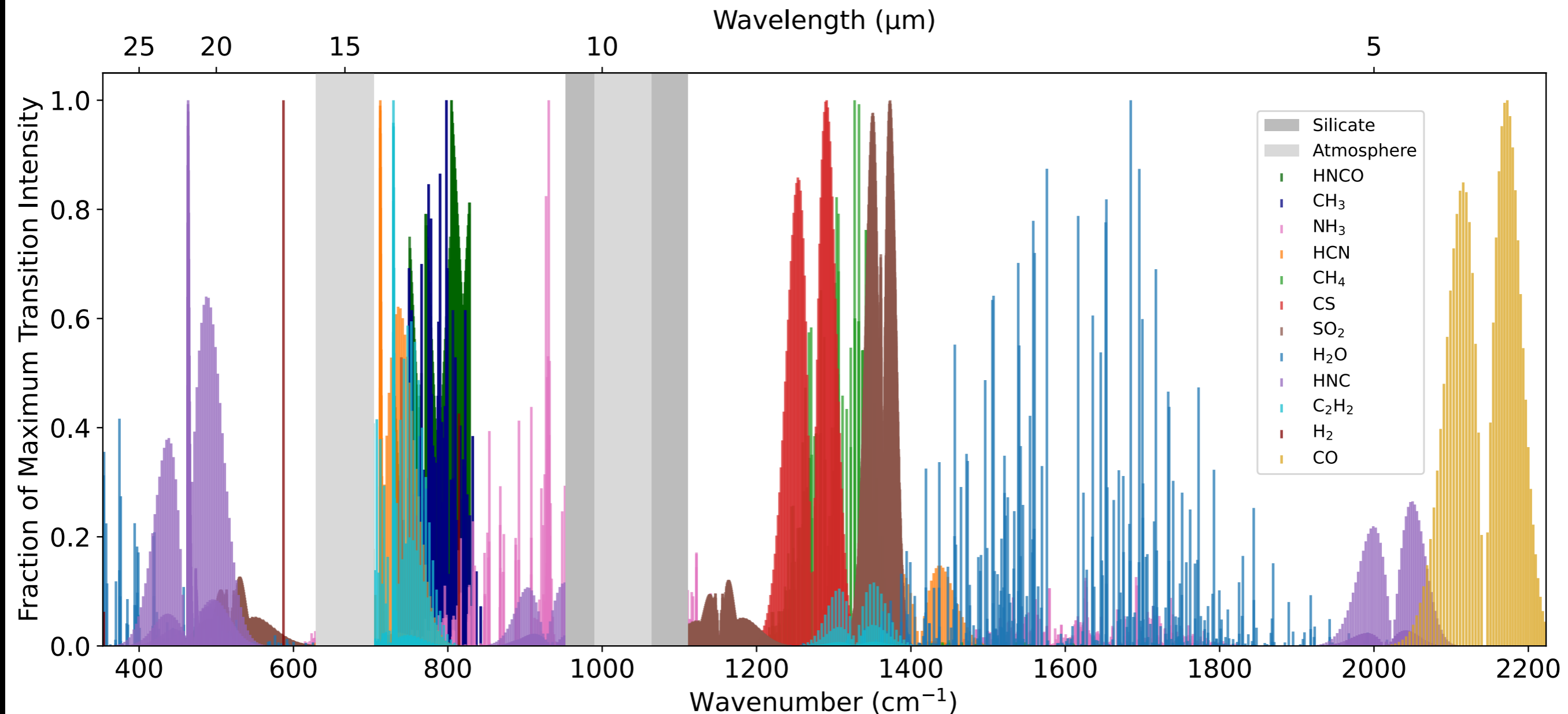
- Like HITRAN, molecules relevant to the Earth's atmosphere
- Molecules mostly overlap with HITRAN, but contains a few HITRAN does not have (e.g. HNC)

- ExoMol



- Molecules relevant to exoplanet atmospheres
- Contains molecules not found in Earth's atmosphere (e.g. SiO)

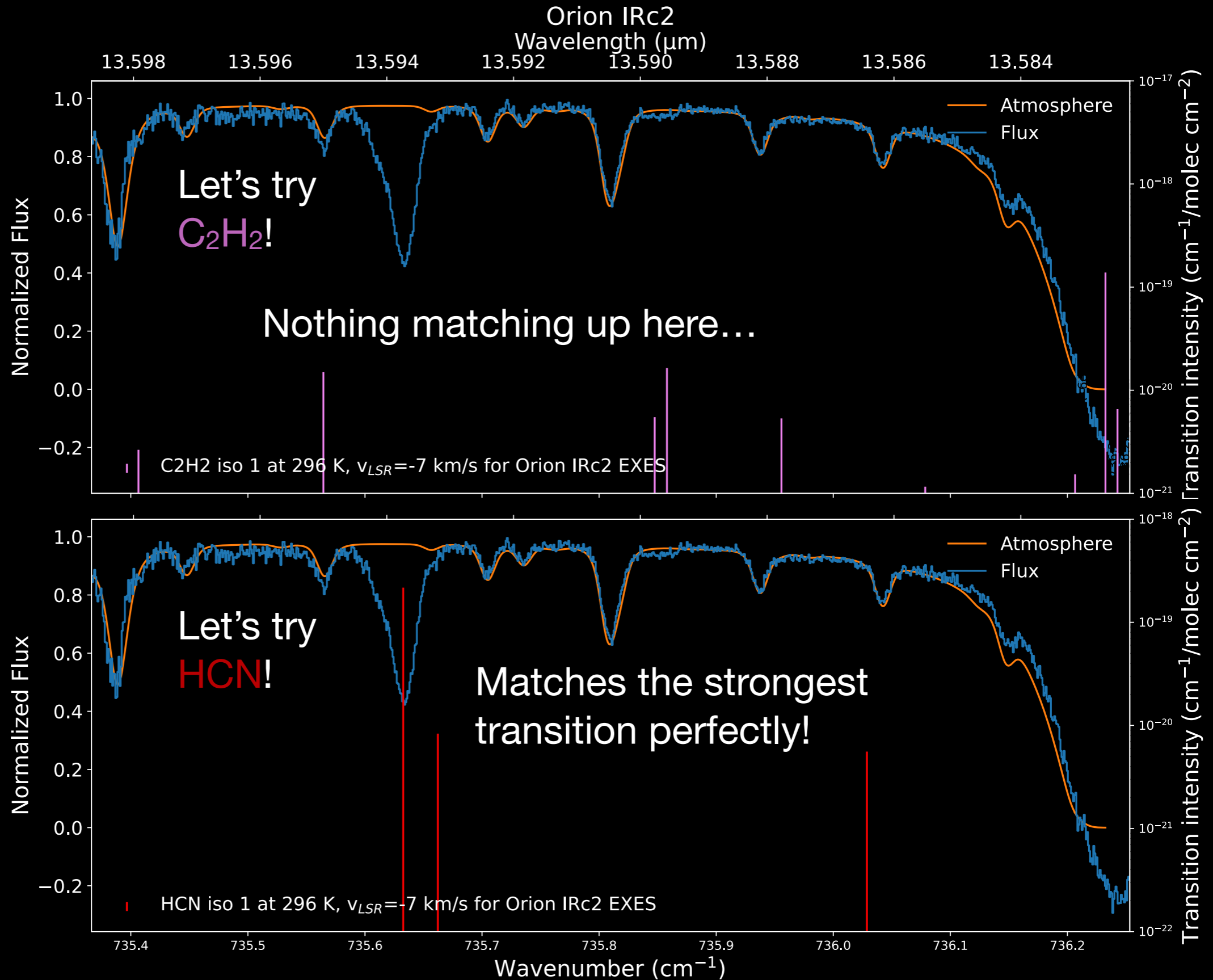
Common Hot Core Molecules



- Relative transition strengths of molecules within the EXES and TEXES range, commonly found towards hot cores in the MIR
- Greyed out regions unobservable due to atmosphere or deep silicate features in hot cores (approximate)
- Line lists used: GEISA (HNC), Knez+ 2009 (HNCO, CH₃), HITRAN (rest)

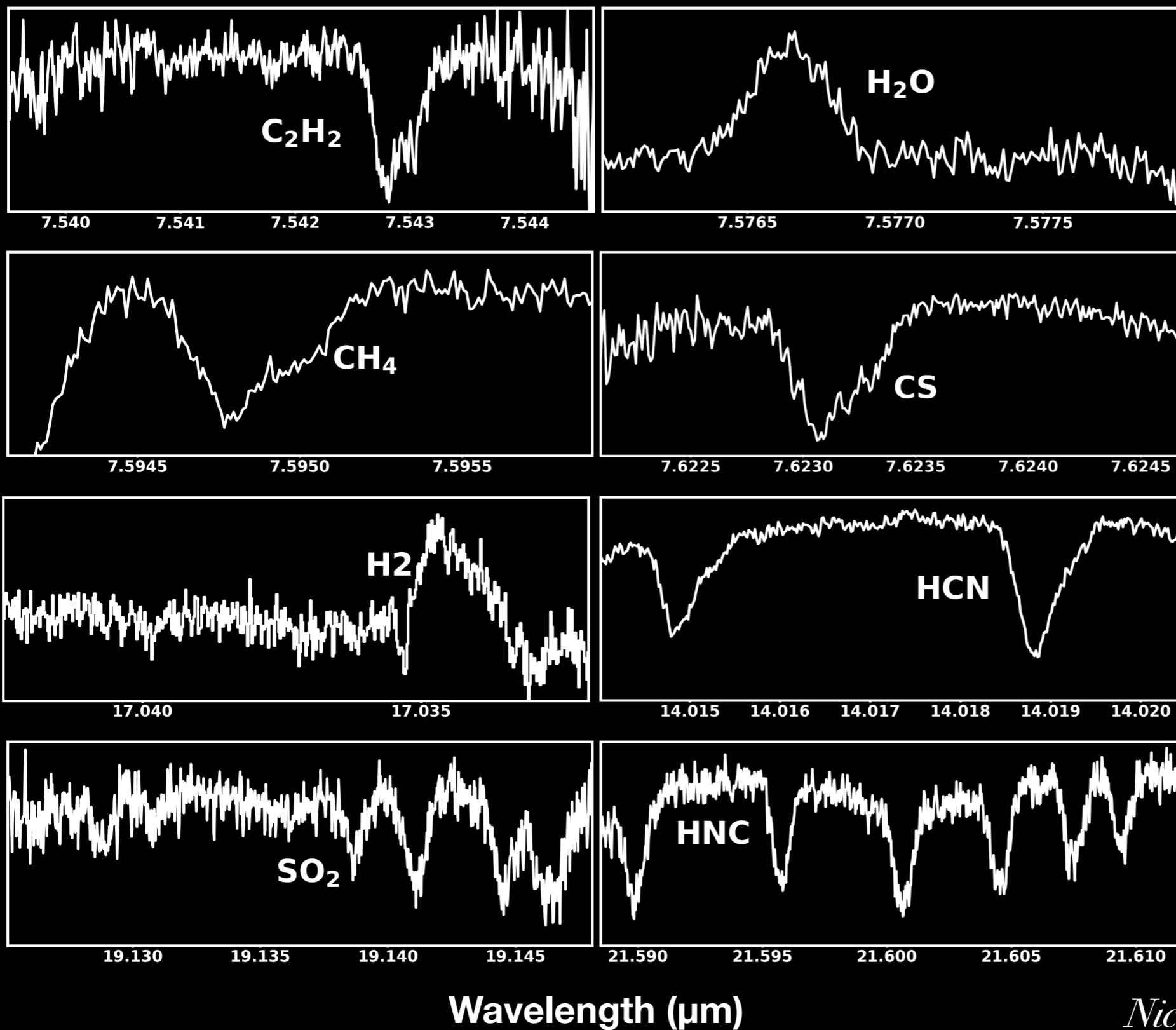
Line ID

- Download line list from database (in this case HITRAN)
- Shift database's rest wavelength according to a best guess v_{LSR}
- Plot and see if strongest transitions match up with unidentified lines
- Look through entire setting to make sure all strong transitions match



You can guess the v_{LSR} by using a previous high resolution NIR/MIR publication for the same object (here Rangwala+ 2018); second choice is publications from longer wavelength regimes, but those do not necessarily match MIR velocities

What we found towards Orion IRc2



- Survey 7.2 to 28.3 μm
- Over 350 unique features
- Molecular species identified:
 - absorption: HCN, HNC, C₂H₂, H₂O, NH₃, CH₄, SO₂, CS, H¹³CN and ¹³CCH₂
 - emission: H₂, H₂O and SiO
- Detect two velocity components in some absorption species (e.g. C₂H₂, CH₄, and HCN) and H₂ emission

Nickerson+ 2021, ApJ, 907, 51

Nickerson+ 2023, ApJ, 945, 26

Gaussian Fits

- Once we have normalized our flux and identified the lines, we fit our lines to Gaussians to measure important quantities

- If the line overlaps with a little atmosphere, divide out the tuned ATRAN or PSG atmospheric model

- Select the region of flux for fitting to the Gaussian; the amount of baseline is important to ensuring a good fit

- Following Indriolo+ 2015, fit the flux to:

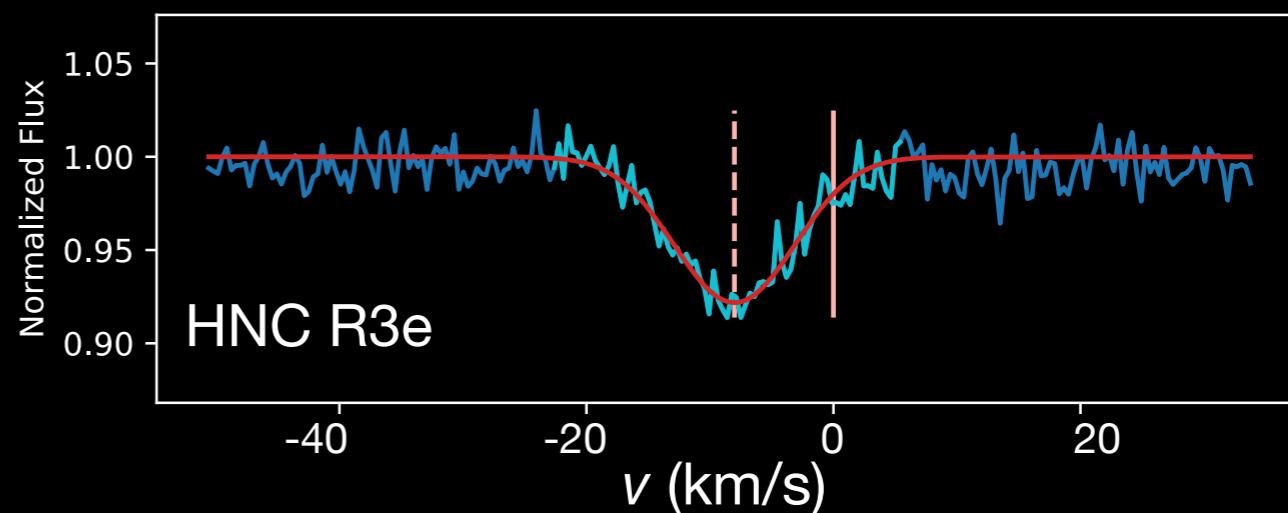
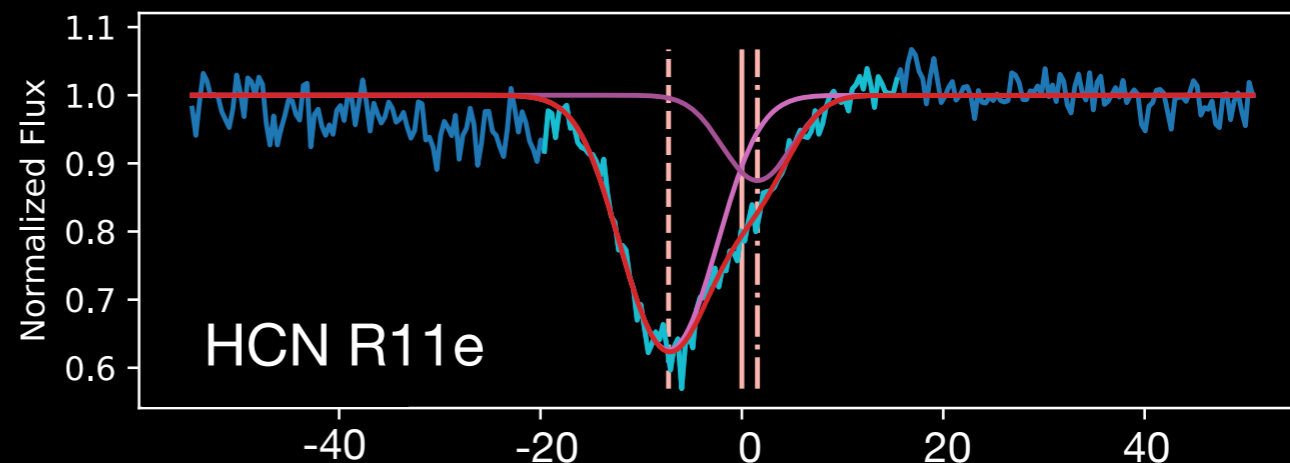
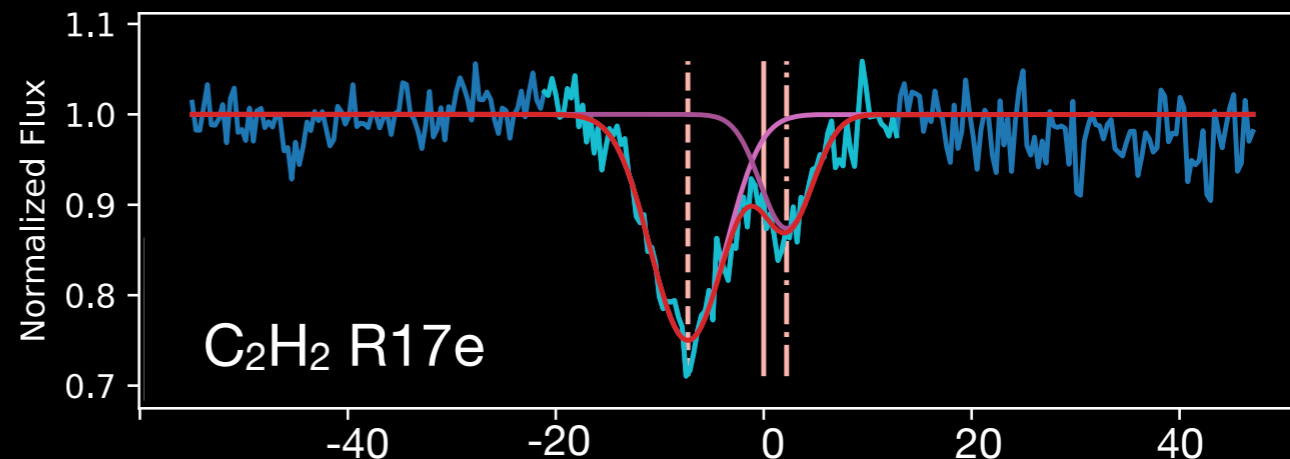
$$I = I_0 e^{-\tau_0 G} \text{ where,}$$

$$G = \exp \left[-\frac{(v - v_{\text{LSR}})^2}{2\sigma_v^2} \right]$$

and calculate column density as integral under $\tau_0 G$:

$$dN_l/dv = \frac{g_l}{g_u} \frac{8\pi}{A\lambda^3} \tau_0 G$$

- If seeing more than one velocity component, then fit to the same number of Gaussians!



I_0 : baseline
 τ_0 : optical depth
 v : LSR velocity
 v_{LSR} : LSR velocity at line centre
 σ_v : velocity dispersion
 N_l : lower state column density
 $g_{l/u}$: lower/upper statistical weight
 λ : rest wavelength
 A : Einstein coefficient

Normalized flux
 Flux used for Gaussian fit
 Total Gaussian fit
 Gaussian of first velocity component
 Gaussian of second velocity component
 Rest velocity —————
 First velocity - - - - -
 Second velocity — ■ — ■ — ■ — ■

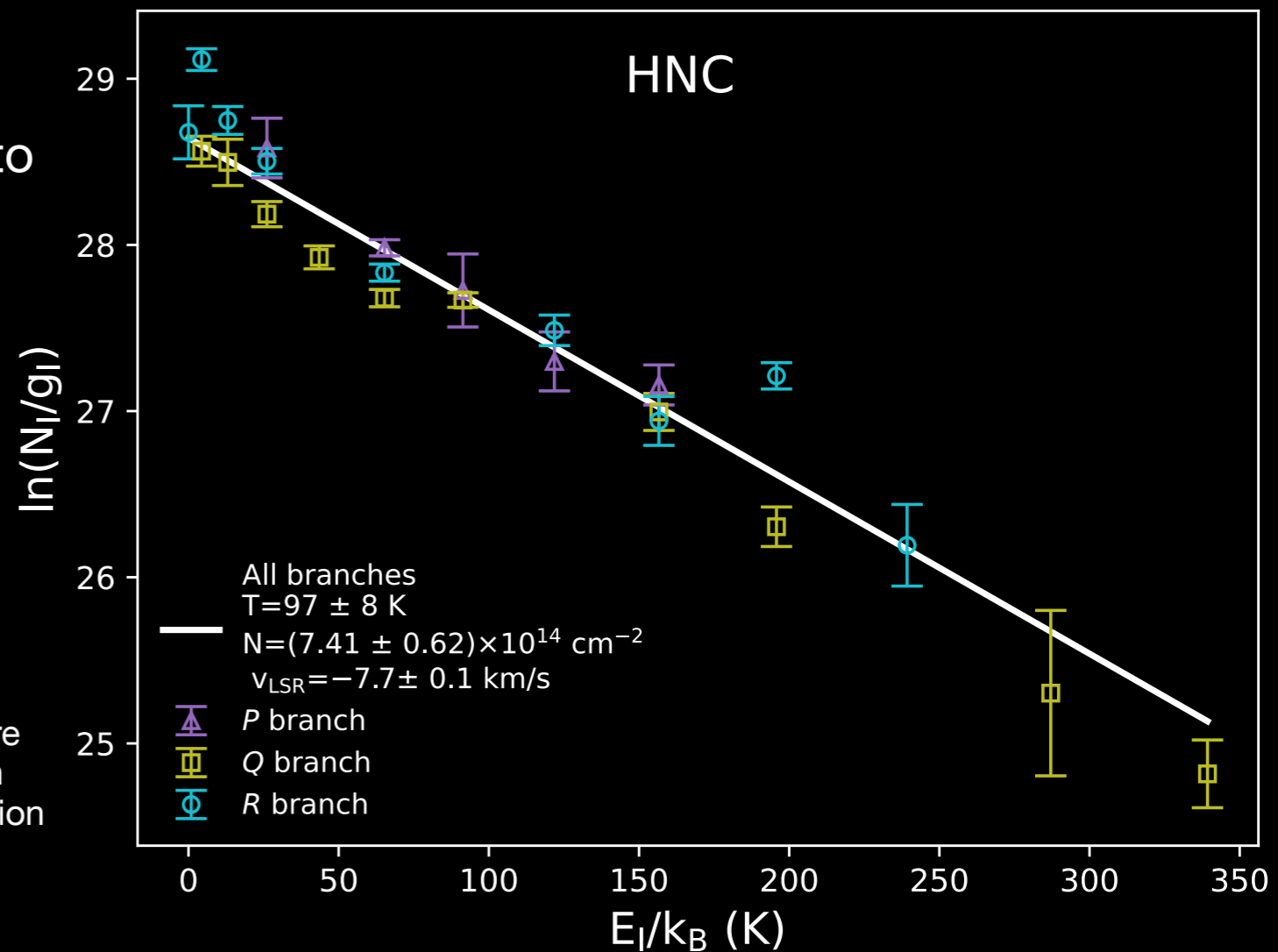
Rotation Diagram

- Assuming local thermodynamic equilibrium (LTE), can fit the column densities and energies of all transitions to Boltzmann's equation (Goldsmith & Langer 1999) to obtain overall column density and excitation temperature of species:

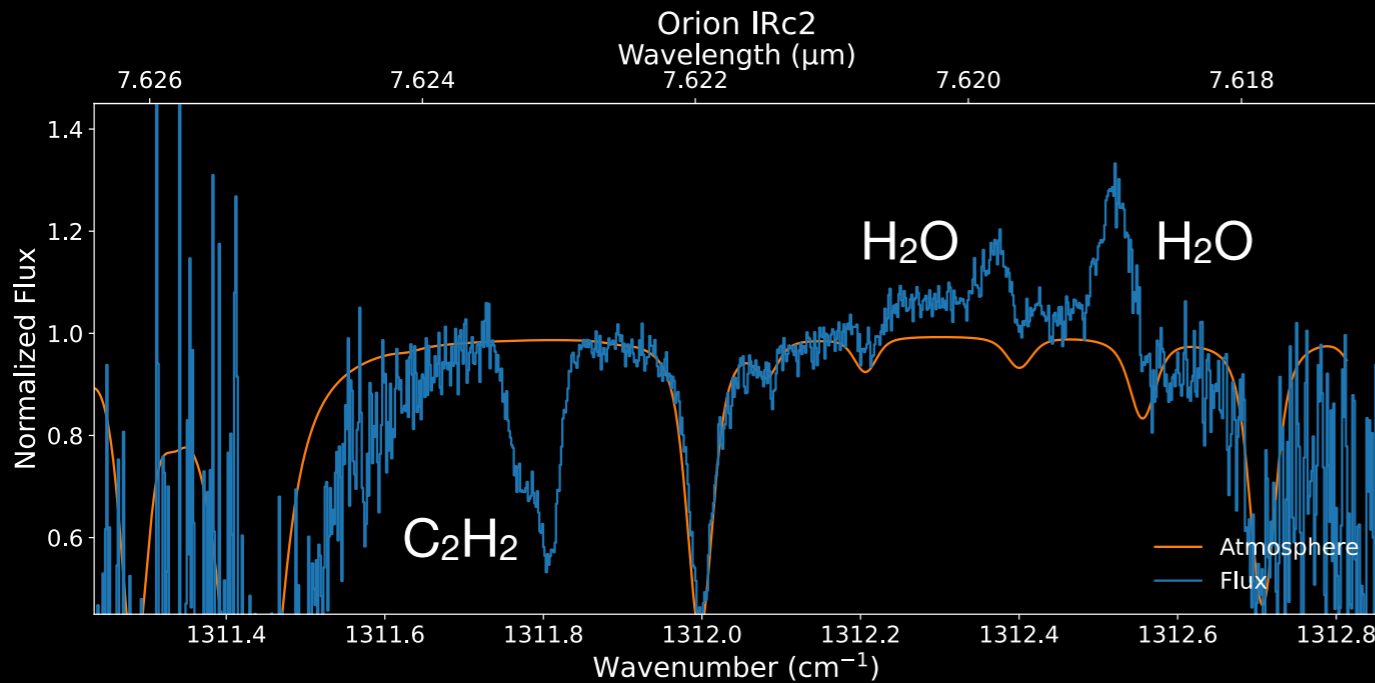
$$\ln \frac{N_j}{g_j} = \ln \frac{N}{Q_R(T_{\text{ex}})} - \frac{E_l}{kT_{\text{ex}}}$$

N_j : transition column density
 g_j : transition lower statistical weight
 N : total column density

T_{ex} : excitation temperature
 $Q_R(T_{\text{ex}})$: partition function
 E_l : lower energy of transition
 k : Boltzmann constant



Emission Lines



- Gaussian fitting is similar to absorption lines, though the equations are slightly different (see Mangum & Shirley 2015, Nickerson+ 2023)

$$S_\nu(\nu) = B_\nu + S_{\nu 0} \exp\left(\frac{-(\nu - \nu_{\text{LSR}})^2}{2\sigma_\nu^2}\right)$$

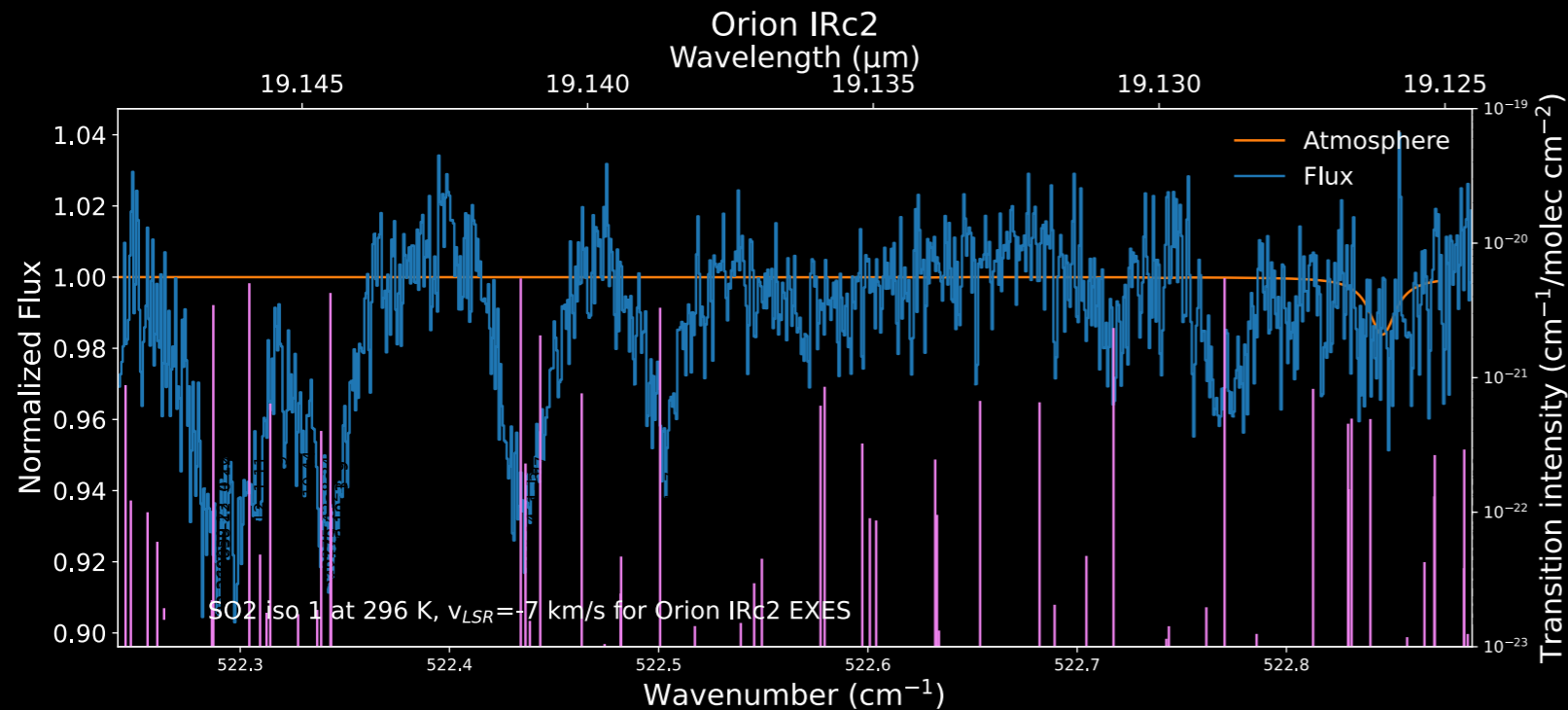
$$N_u = \frac{4\pi\sqrt{2\pi} S_{\text{JA}} S_{\nu 0} \sigma_\nu}{hcA}$$

- Rotation diagram analysis is the same as previous slide, replacing lower values with upper

- The challenge is finding the conversion factor (S_{JA}) to convert the EXES flux into an absolute flux in units of Jy
- If there was a calibrator observed (e.g. Vega) on the same night, this may be useful
- May also find ISO data (on IRSA) taken of same target to be useful, though keep in mind ISO has a much larger beam than EXES and will high a higher flux is the target is in a crowded region
- Find other clues in literature about target. MIR observations with other instruments at similar wavelengths (e.g. SUBARU/COMICS) may have absolute flux measurements

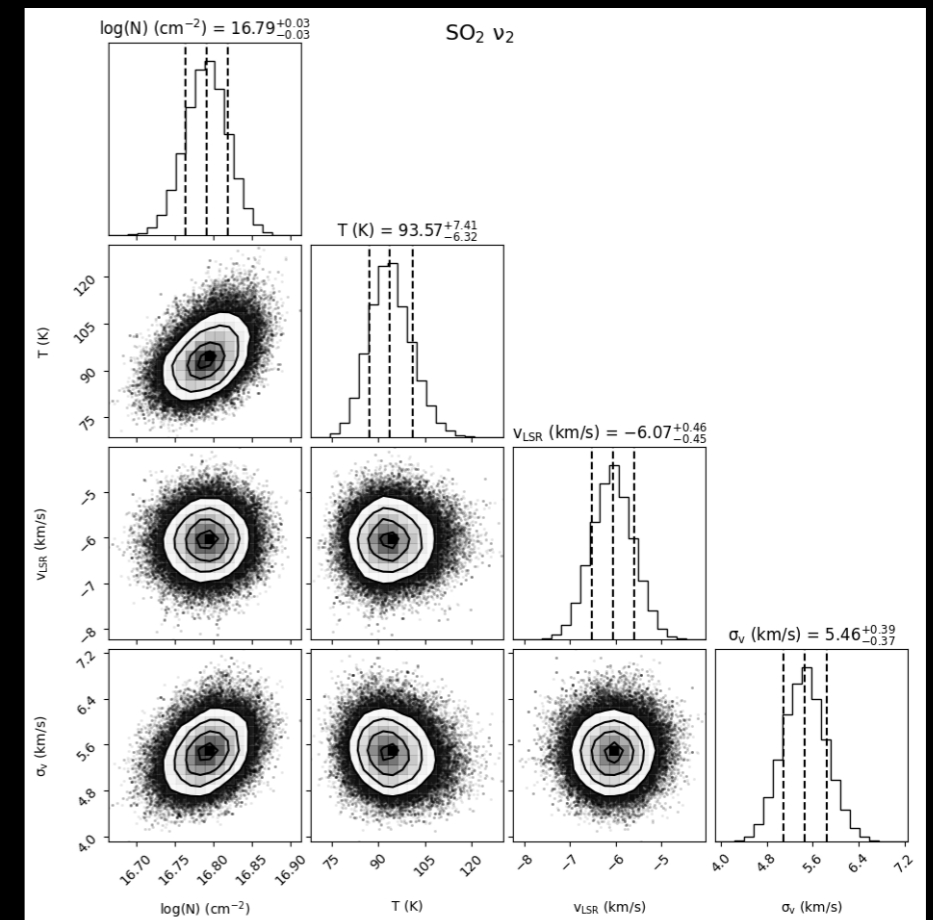
B_ν : the continuum level
 $S_{\nu 0}$: amplitude
 ν : LSR velocity
 ν_{LSR} : LSR velocity at line centre
 σ_ν : velocity dispersion
 N_u : upper state column density
 S_{JA} : conversion factor
 A : Einstein coefficient
 h : Planck constant
 c : speed of light

What if there are too many lines to fit?

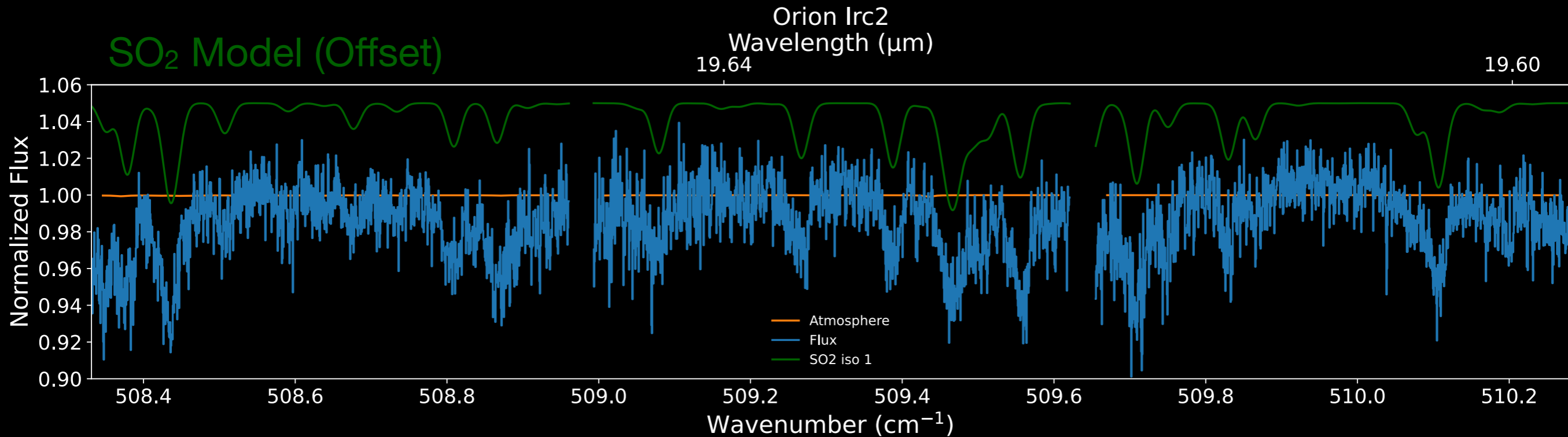


- SO₂ transitions too numerous and close together to fit individual transitions to Gaussians
- Solution: work backwards from the Boltzman equation, treating N , T_{ex} , v_{LSR} , and σ_v as inputs to generate simulated spectra

- Use an optimization function to find the input parameters that generates the simulated spectra that most closely fits the EXES flux
- emcee (Foreman-Mackey+ 2013) is a python implementation of a Markov chain Monte Carlo ensemble sampler that is very popular in astronomy for these optimization problems



Here is the result: the best fit of the simulated SO₂ spectra the EXES flux, as computed by emcee



The Big Picture:

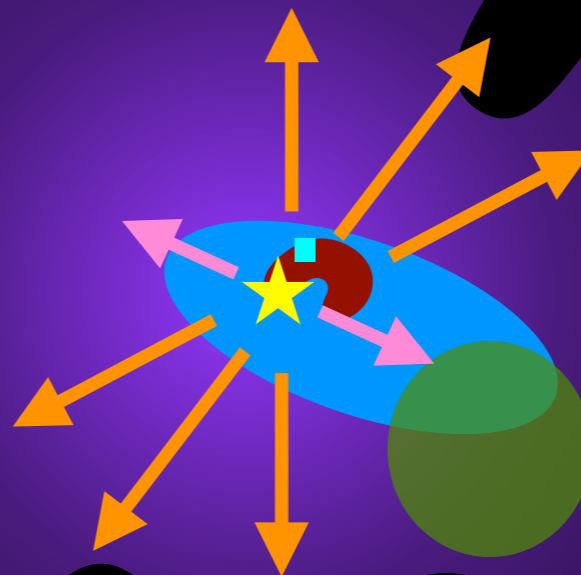
- Great! We've gathered all these column densities, temperatures, and velocities for our molecules, but what does it all mean?
- We can use what we learned about the chemistry to shed light on the structure of these regions
- The chemistry links back to astronomy...

Linking Chemistry to Astronomy

Example #1: Orion IRc2

Classic components in Orion BN/KL region, from sub-mm to radio spectroscopy in emission:

- Hot Core: hot, dense, and molecular rich
- Extended Ridge: quiescent, ambient gas
- Plateau: outflow from Source I, split into low velocity and high velocity flows
- Compact Ridge: interface between plateau and extended ridge



Our Beam Centre
Extended Ridge
Hot Core
IRc2
Compact Ridge
Radio Source I
Plateau:

High Velocity Flow
Low Velocity Flow

Composite of maps from: Wright+ 1996, Greenhill+1998, Okumura+ 2011, Crockett+ 2014, and De Buizer + 2012

Diagram is highly schematic and not to scale

Overview of Kinematic Components in Orion BN/KL

Component	v_{LSR} (km s ⁻¹)	v_{FWHM} (km s ⁻¹)	T (K)	Species Detected in This Work
MIR Components (This Work)				
Blue Clump	-7.1 ± 0.7	8.9 ± 1.8	135 ± 47	C ₂ H ₂ , ¹³ CCH ₂ , CH ₄ , CS, HCN, H ¹³ CN, HNC, H ₂ [*] , H ₂ O, NH ₃ , OH [?] , SO ₂
Red Clump	1.4 ± 0.5	7.7 ± 0.5	146 ± 52	C ₂ H ₂ , ¹³ CCH ₂ , CH ₄ , H ₂ [*] , HCN
^a Classic Components (Sub-mm to Radio Surveys)				
Hot Core	^c 2.5–7.5	5–15	^b 150–400	—
Extended Ridge	^c 7–11	3–5	55–70	—
Compact Ridge	^c 7–9	3–5	80–150	—
Plateau	6–9	>20	95–150	—

NOTE—Columns are from left to right: central local standard of rest velocity, line full-width half-maximum, temperature, and species detected in this work only. Numbers are averages for this present work, and a typical range from other works. ^aRanges are compiled from combining Blake et al. (1987); Genzel & Stutzki (1989); Tercero et al. (2010, 2011); Esplugues et al. (2013) with supplementary data from: ^bWilson et al. (2000) and ^cWright et al. (1996). H₂, H₂O, OH, and 2ν₂ HCN are not counted towards the average v_{LSR} and v_{FWHM} in this work due to only two or one lines analyzed per species. * denotes emission lines. ? denotes the tentative detection of OH.

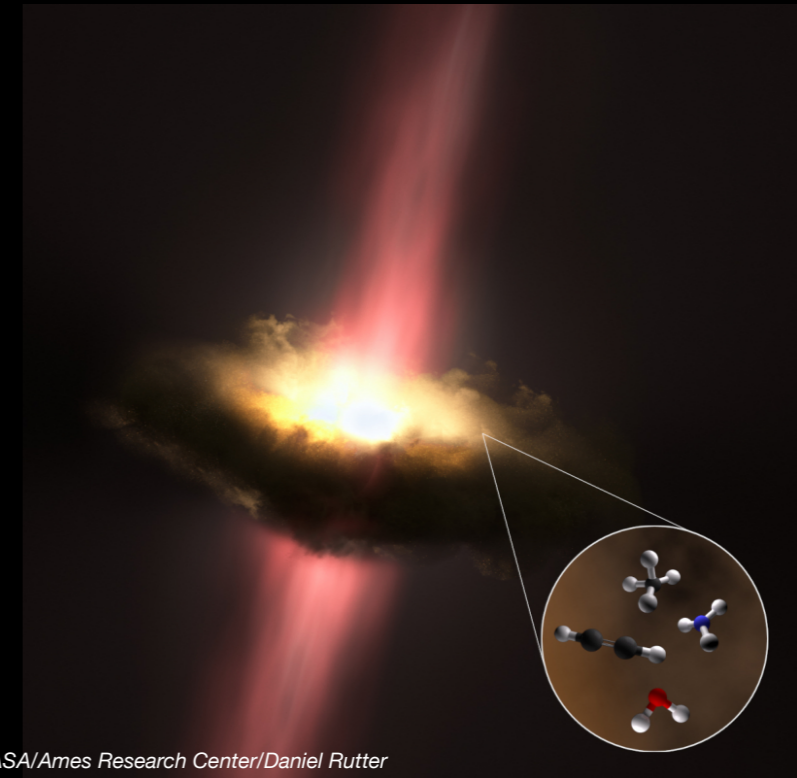
- The two kinematic components in our survey are detected in absorption lines and H₂ emission
- Have distinct properties from the classic components and are only detected in the MIR
- Through chemistry, our survey and the ones before it have deciphered the many components in the region

Nickerson+ 2023, ApJ, 945, 26

Linking Chemistry to Astronomy

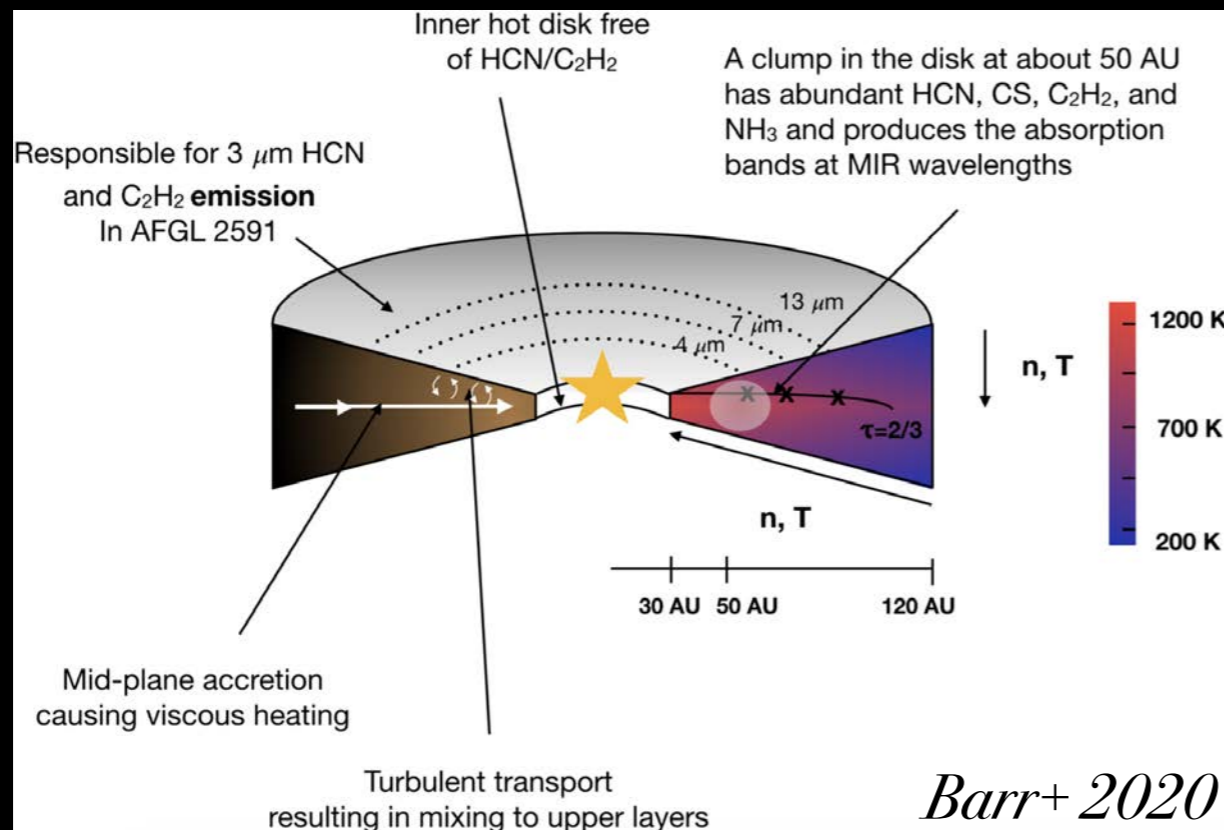
Example #2: AFGLs 2136 and 2591

- Both AFGL 2136 and 2591 are traditional hot cores in which the gas is surrounding a massive protostar
- Barr+ 2020 high resolution survey of these two hot cores, from 4 to 13 μm
- Combined data from EXES, IRTF/TEXES (similar to EXES, but ground-based), and IRTF/iSHELL (near infrared, shorter wavelengths than EXES)



NASA/Ames Research Center/Daniel Rutter

- Absorption lines: CO, HCN, C₂H₂, NH₃, and CS with temperatures on order of 600 K; emission lines: HCN and C₂H₂
- Proposed that the absorption lines trace a disc around the protostar with an outwardly decreasing temperature profile
- Shorter wavelengths trace inner regions of the disc, longer trace outer; outer envelope traced by sub-mm emission lines
- As with Orion IRc2, the MIR reveals a region unseen by longer wavelengths

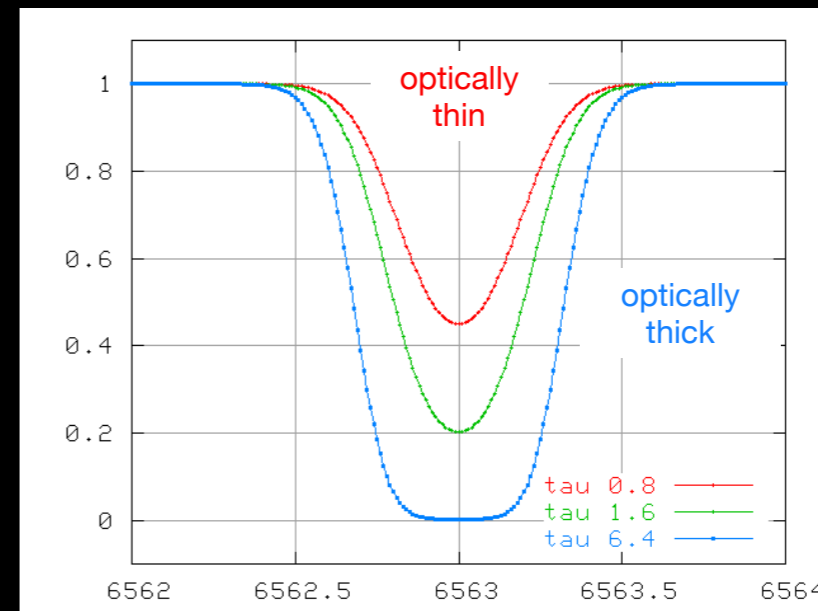


Barr+ 2020

A Note on More Complex Situations

Optically Thick Lines

- Signs of optically thick absorption lines:
 - Flat bottoms
 - Lines saturate at a certain value
 - Large scatter in rotation diagram
- Solutions:
 - Stellar atmosphere model to find abundances (Mihalas 1978, Barr+ 2020)
 - Curve of growth analysis (Draine 2011, Tielens 2021, Barr+ 2022)



<http://spiff.rit.edu/classes/phys440/lectures/curve/curve.html>

Non-LTE

- If a rotation diagram is non-linear and is not well fit by a straight line, then the gas is not in local thermodynamic equilibrium
- Can use a non-LTE model to fit the spectra (e.g. RADEX, van der Tak 2007)
- However, these require collision coefficients and presently no database with collision coefficients covers the MIR

Thank you!

